



USER GUIDE

RapidLEI – Identity Platform

- Apply for a single LEI or multiple LEIs (Legal Entity Identifiers)
- Perform simple maintenance tasks to keep your Level 1 Reference Data and Level 2 Relationship Records up to date & accurate – essential for regulatory reporting and compliance needs.
- Take control of your corporate identity/brand portfolio by Importing LEIs already created or adding additional LEIs for group companies.
- Take advantage of Multi-year savings and efficiencies – Issue an LEI up to 5 years and allow RapidLEI’s automated renewal algorithms* to take care of renewal up to 60 days prior to expiry.

** LEIs with updated Level 2 data, or LEIs for FUNDS must be renewed manually each year, as confirmation is required of the data/and or updated consolidated accounts.*

INTRODUCTION

The way companies do business is continually evolving. The Internet brought along lots of changes, enhanced competition and at the same time new compliance requirements from regulatory bodies keen to keep everyone aligned. Just as your visual brand image now reaches a global audience through industry standard web browsing protocols on multiple platforms, so will your digital corporate identity. It's no longer enough to attempt to use your 'local' number provided by a country specific Business Register or Chamber of Commerce. Regulators, especially those related to the financial services industry, are now mandating the use of a global number. Enter the LEI as a cost effective, strong identity both for international and local trade.

Top Tip! – The user guide provides tips and guidance in the form of call outs.

An example here is that this guide is specifically for our retail customers with single or low volume requirements.

If you wish to resell LEIs in volume or simply have a large number of LEIs to manage, please talk to us by emailing partnerships@rapidlei.com

In fact, we all need to play our part in strengthening the underlying global financial eco system to provide an open data platform with enough transparency to ensure we never repeat the financial crisis from 2008.

We are grateful that you have chosen the RapidLEI platform. We look forward to fulfilling all your LEI requirements.

The RapidLEI team.

About the RapidLEI Identity Platform and Ubisecure

In 2018, Ubisecure became a GLEIF accredited Legal Entity Identifier (LEI) issuer and created RapidLEI to issue LEIs. The RapidLEI platform has been designed from the ground-up to register LEIs faster and make managing LEIs easier. Our algorithms, automation and integration with the local business registries around the world do the heavy lifting – providing unparalleled issuance speed and improved data accuracy.

This approach allows RapidLEI to deliver highly cost-effective LEIs quickly, all over the globe. With barriers removed to obtain LEIs, we drive closer to the goal of the LEI being the “one organization identity” benefitting a wide range of applications and services across all sectors.

As RapidLEI integration pushes forward with Banks, Certificate Authorities & FinTech solution providers, we see new LEI use cases emerge daily. Today, the use of LEIs extends way beyond just regulatory compliance. Since launching the LEI Marketplace¹, we now see LEIs being used in electronic documents and digital signatures, Certificate Authority products like TLS/SSL, as well as Ubisecure's own “Sign in with RapidLEI”² service - a universal sign in and governance solution for LEI holders to authenticate individuals associated with their organisation and assert the representation rights to third parties (i.e. corporate spending limits, signature rights, transaction rights).

FYI Ubisecure's LEI is [529900T8BM49AURSDO55](https://www.ubisecure.com/leis/529900T8BM49AURSDO55)

¹ The LEI Marketplace can be found here <https://rapidlei.com/lei-marketplace/>

² Details of the 'Sign in with RapidLEI' service can be found here <https://rapidlei.com/representation-governance/>

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If you read nothing else, please read this...

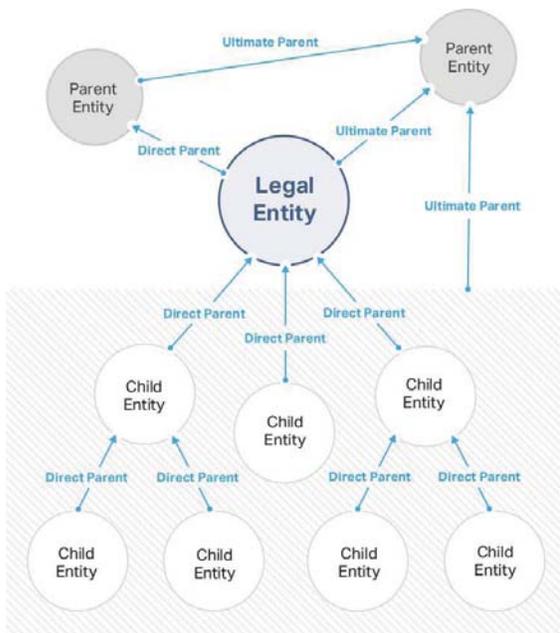
Legal Entity Identifiers (LEI's) are 20-digit alphanumeric codes issued to Legal Entities. The code itself is also accompanied by several other machine-readable files which are uploaded to the **GLEIF** (Global LEI Foundation) web site and made available via an Open Data charter for anyone to download. CDF (Common Data Format machine readable files in XML (eXtensible Markup Language) are used to convey two levels of information. Namely **Level 1** – Who is Who & **Level 2** – Who owns Whom.

The technical details of the files and file structures are given on the GLEIF web site for enhanced / further reading. <https://www.gleif.org/en/about-lei/common-data-file-format>

During the application process we'll be asking you to confirm that Level 1 identity data we've gathered for your Legal Entity is accurate (or asking you to provide data to us if we can't locate some/all of it). We'll then modify the structure, helping you choose the right Entity Legal Form, ISO 3166 codes to create the necessary CDF compliant files. Together, we'll maintain the accuracy of the data over the lifetime of the LEI and at each successive annual renewal event – Multiyear options are available to help you simplify the purchase process and benefit from cost savings.

We focus most of the initial data gathering activities on the **Legal Entity**, and once we've confirmed this is correct, we'll agree **Terms of Service**³ and then look for **Level 2** data on and **Direct** and/or **Ultimate** Parent(s) – if they exist according to the **GLEIS** (Global LEI System) definition of parents which uses accounting consolidation⁴ as a globally accepted method.

This is basically how RapidLEI works...



1) START TYPING ORG NAME



2) E-SIGN IN YOUR BROWSER



3) YOUR LEI IS PUBLISHED

The first stage of the process identifies the **Legal Entity** and allows you to confirm **LE-RD (Legal Entity Reference Data)**. – In many cases we can obtain this in real time via a few characters.

The next stage, with the LE-RD confirmed, is to **eSign** a contract for the supply and ongoing maintenance of the LEI.

The final stage of the process allows you to submit (or decline to submit) data on the corporate structure - in line with the GLEIF diagram. This allows us to create the necessary **LE-RR (Legal Entity Relationship Records)** or if there's no parents, **ER (Exception Records)**.

Once we have all necessary information from you, our vetting teams will verify accuracy and completeness and create the necessary records. These will be uploaded to the GLEIF. They'll be published into the Concatenated Files⁵ within 24 hours (or the Golden Copy⁶ used as the basis for GLEIF Search 2.0) within 8 hours. We'll let you know the LEI Number immediately, as it is **valid for all your reporting and compliance purposes as soon as we issue it.**

Top Tip! – Here's where your LEI will appear (Within 8 hours of issuance by RapidLEI).

<https://search.gleif.org/>



³ RapidLEI Terms of Service can be found here <https://rapidlei.com/documents/global-lei-system-terms/>

⁴ Details on the Legal Entity Identifier Regulatory Oversight Committee (LEI ROC) policy governing level 2 data needs can be found here <https://www.gleif.org/en/lei-data/access-and-use-lei-data/level-2-data-who-owns-whom/lei-roc-policy-on-level-2-data>

⁵ GLEIF Concatenated files can be found here <https://www.gleif.org/en/lei-data/gleif-concatenated-file/download-the-concatenated-file>

⁶ GLEIF Golden Copy files can be found here <https://www.gleif.org/en/lei-data/gleif-golden-copy/download-the-golden-copy#/>

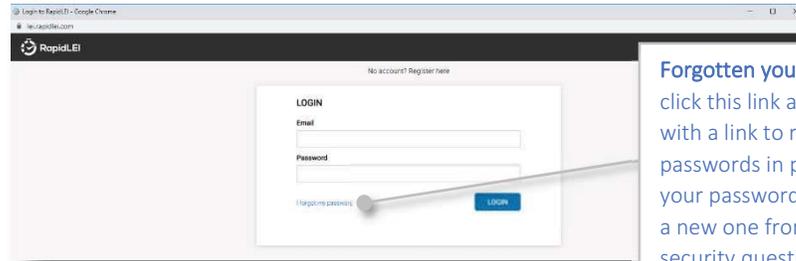
Over the lifetime of the LEI we have a joint responsibility to amend the **LE-RD** and **LE-RR / LE-RE** following any corporate actions which change the official Legal Entity details or the ownership structure. Here's a simple table of what files we'll create for you for each of the ownership cases.

Direct Parent	Ultimate Parent	Reference Data (LE-RD)	Relationship Record (LE-RR)	Parent Reference Data (PRD)*	Exception Record (LE-ER)
Does not Exist	Does not Exist	Yes CDF	-	-	<input checked="" type="checkbox"/> and <input checked="" type="checkbox"/>
Does not Exist	Exists (LEI)	Yes CDF	Yes U	-	<input checked="" type="checkbox"/>
Does not Exist	Exists (no LEI)	Yes CDF	-	Yes U	<input checked="" type="checkbox"/> and <input checked="" type="checkbox"/>
Exists (LEI)	Does not Exist	Yes CDF	Yes D	-	<input checked="" type="checkbox"/>
Exists (LEI)	Exists (LEI)	Yes CDF	Yes D & U	-	
Exists (LEI)	Exists (no LEI)	Yes CDF	Yes D	Yes U	<input checked="" type="checkbox"/>
Exists (no LEI)	Does not Exist	Yes CDF	-	Yes D	<input checked="" type="checkbox"/> and <input checked="" type="checkbox"/>
Exists (no LEI)	Exists (LEI)	Yes CDF	Yes U	Yes D	<input checked="" type="checkbox"/>
Exists (no LEI)	Exists (no LEI)	Yes CDF	-	Yes D & U	<input checked="" type="checkbox"/> and <input checked="" type="checkbox"/>
International Branch Office		Yes CDF	Yes (Branch)	n/a (Head offices MUST have LEI's)	n/a (Head offices MUST have LEI's)
Fund		Fund is a special Entity Category, however reporting of Level 2 information is still applicable if the fund consolidates to other entities.			
Sole Proprietor		Sole Proprietor is also a special Entity Category, however reporting of Level 2 information is still applicable even though in most cases this will be LE-ER only			

*PRD is uploaded to the GLEIF as an internal relationship record for planning and LEI improvement processing – this is not publicly available via the GLEIF systems.

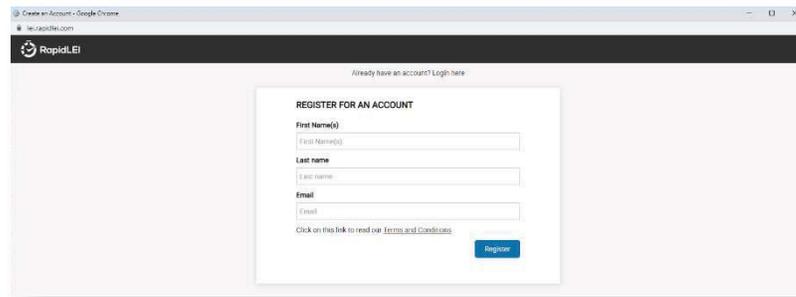
Creating a user account

You'll need an account to be able to order LEIs. From the **DASHBOARD**, you can invest in **NEW** LEIs, **RENEW** existing LEIs or **IMPORT** (Transfer) LEIs from an alternative Managing LOU (LEI Issuer). The primary purpose of the **DASHBOARD** is to ensure no LEIs LAPSE. You can look 60, 45, 30 or 15 days ahead to see what's due for renewal and of course the RapidLEI system will also remind you by email! We'll run through the details of each section in more detail on page 8 onwards, so if you already have an account, you can Login: <https://lei.rapidlei.com/Account/Login> and go to page 8 of this user guide.



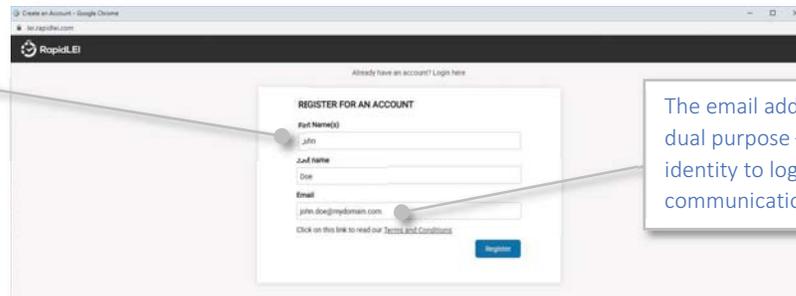
Forgotten your password? – Don't worry, click this link and we'll send you an email with a link to restore it. (We don't keep passwords in plain text, so we can't send your password to you. You'll need to create a new one from the link after answering the security question.)

If not, then sign up for an account here:- <https://lei.rapidlei.com/Account/SignUp>



Top Tip! – If the business register within the Jurisdiction of Incorporation knows you as Stephen or Andrew, instead of Steve or Andy, then please use the more formal name. It will speed up the process of name matching for those jurisdictions that record Signing Authority details.

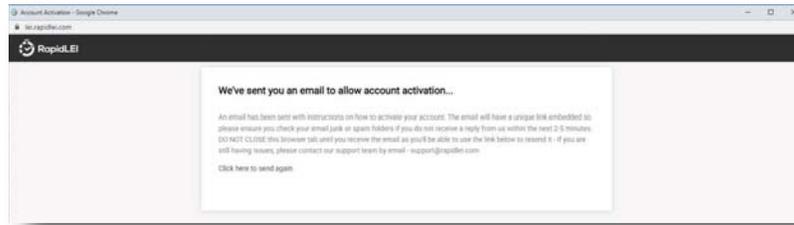
Let us know your First Names(s), Last name and email.



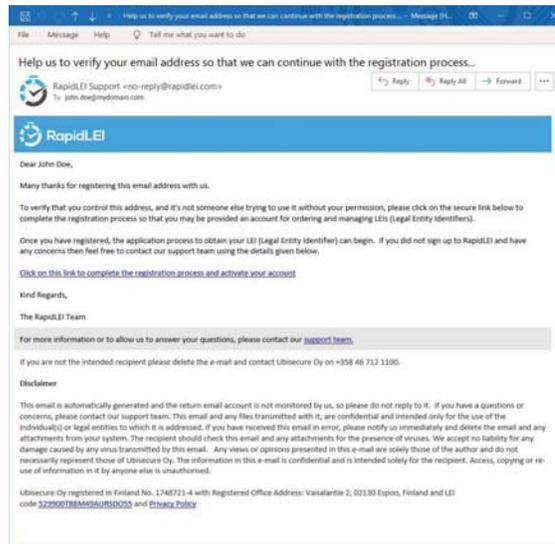
The email address chosen here will have a dual purpose – it allows you to use this identity to log in, but it also receives communications – renewal reminders etc.

Pressing 'Register' will temporarily record this information. If you fail to complete the next step, then after 7 days the account will be deleted and only the record of an email being sent will be retained by the RapidLEI platform.

Please allow a few minutes for the email to arrive and be sure to check your Spam folder. As some mail systems such as Mimecast allow mail through only when a 2nd email is received, you can use the 'Click here to send again' function. Do not close this the window until you receive the email.



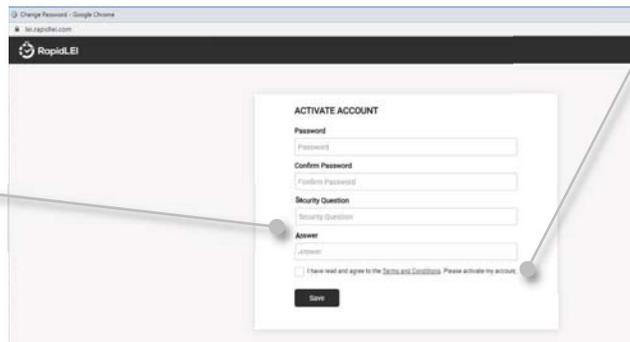
You'll receive an email with an activation link. Please click on the link to proceed to the next step.



The final step is to Activate the Account.

Top Tip! – Please use a suitably complex password for the account. There's a security Question and Answer which can be used to re-set the password in the event you forget it.

You can reset the password and the question/answer from 'My Settings' one logged in.



You must accept the Terms and Conditions before the account can be activated.

If you wish to review these now, they are here - <https://rapidlei.com/documents/lei-platform-terms-of-service/>

There's also a privacy & cookie policy here - <https://rapidlei.com/documents/privacy-cookie-policy/>

Once the account is activated, we'll take you to the DASHBOARD

The DASHBOARD – A first look...

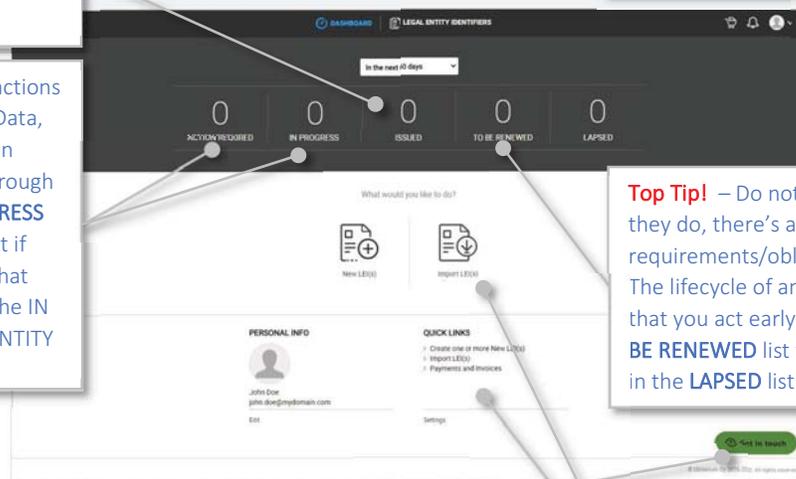
Here's what the dashboard looks like when you first arrive.

These icons indicate your shopping cart, Notifications and access to 'My Settings'



Plan effectively! – Each time you Log in, choose a view and see what's happening in the next 60, 45 days, 30 days or 15 days. (Emails will remind you of renewals)

Top Tip! – If there are any 'Pending' actions on you (e.g. to verify the accuracy of Data, or eSign a contract) we'll show these in **ACTIONS REQUIRED** – As we speed through our activities they'll move to **IN PROGRESS** They should not be there too long, but if they are, we'll provide feedback on what data we are missing. Simply click on the **IN PROGRESS** to check. (See the **LEGAL ENTITY IDENTIFIERS** view.



Top Tip! – Do not let your LEI's LAPSE. If they do, there's a possibility your reporting requirements/obligations may not be met. The lifecycle of an LEI is left to right. Ensure that you act early when LEI's enter the **TO BE RENEWED** list to ensure nothing ends up in the **LAPSED** list.

Top Tip! – Get in touch if you need us. Our support team are there to help you.

Use **QUICK LINKS** or click on the **ICONS** to start a workflow either creating **NEW LEI(s)** or importing/transferring existing ones.

There are lots of options to customize the DASHBOARD especially if you intend to order multiple LEIs either for yourself, your corporate legal entity(ies) or on behalf of your clients - Yes you can offer a service to keep their LEIs up to date as part of your accounting or consultancy service – See our FAQ and web site for details – We call this functionality 'Client Agent'.

Here's a quick look at the possible roles and whether you need to talk to our teams to help you.

Number of LEIs	Business size (role)	Notes
1	Small business or Sole Proprietor (Retail)	If you own the business or you are able to sign on behalf of the Legal Entity, then please ensure the account is in your Full Name as recorded on the business registry – you can check and amend in 'My Settings' or by Clicking 'Edit' below PROFILE INFO
1 - 5	Small Medium Enterprise (Retail)	The RapidLEI platform is ideal for SME users. A Letter of Authorization (LoA) may be required if you are managing the account on behalf of someone who has signing authority.
5 – 20	Small Corporate – Multi Country needs (Retail)	The RapidLEI platform is ideal for SME users. A Letter of Authorization (LoA) will usually be required if you are managing the account on behalf of someone who has signing authority.
10 – 50	Service provider - accounting or Finance (Client Agent)	Client Agents manage LEIs on behalf of their client(s), provided that a Letter of Authorization (LoA) is uploaded for each Request to prove permission.
100-500	Large Enterprise - (Enterprise)	The RapidLEI platform can be configured to remove the need for individual LoA(s) when an additional agreement is signed. Please talk to our Sales team - sales@rapidlei.com
500 – 2,500	Bank*, Fund Management Company - (Enterprise)	Please talk to our Sales team - sales@rapidlei.com as the RapidLEI platform supports 'bulk' new/import and single click renew and auto renew functions to ensure LEI management is fast and efficient.
10,000 – 100,000+	Service Provider (Registration Agent)	Please talk to our Corporate Development team - partnerships@rapidlei.com as the RapidLEI platform supports a full suite of API end points for LEI lifecycle management. See https://documenter.getpostman.com/view/6519182/RztkQARN for details.

* Banks managing LEIs on behalf of clients may become 'Validation Agents' – Please see <https://rapidlei.com/lei-for-banks/>

The LEGAL ENTITY IDENTIFIERS List – A first look...

Whether you have a single LEI to maintain or multiple LEIs, the LEGAL ENTITY IDENTIFIERS list provides a snapshot of work in progress, LEIs you've issued, relationships etc. Tool tips will help you understand the various stages and indicators. When you first view the list it will be empty, so let's run through the menus.

Create a NEW LEI – Please note that a new LEI is where there's no existing LEI in existence. During the application we'll double check as often as we can to make sure that's still true before we issue.

IMPORT an existing LEI – For an LEI to be imported, the current Managing LEI Issuer has to be contacted and agree to the transfer, so importing can take several days, so please be sure to import in plenty of time if you have LEIs due to LAPSE

Search – If you only have a few LEIs to import then you may not need to use the search facility. There's also a 'Date Mode' function to allow you to sort on key dates and you can also sort A-Z on Company Name, Number and Jurisdiction



Top Tip! – Filters let you know the number of LEIs in the account. In the order of Priority, Pending is the most important – Our vetting team are waiting for confirmation from you.

If you have lots of LEIs to process, you can select some or all of the LEIs and download the list for post processing in popular spreadsheet applications.

Here's a quick summary of the basic process to issue/import an LEI

- NEW LEIs are created, and existing LEIs managed by other LEI Issuers are IMPORTED, by choosing the appropriate button on the DASHBOARD or LEGAL Entity IDENTIFIERS list. (Renewing LEIs will be covered in a later chapter)
- The primary need is for you to confirm the LE-RD (Legal Entity Reference Data) otherwise known as Level 1 data, or business card data. i.e. your company name, number, address(es)...
- Once confirmed you'll be able to eSign the terms of service agreement or forward to someone who can sign on your behalf.
- Finally, we'll need you to confirm LE-RR (Legal Entity Relationship Records based on account consolidation) or LE-ER (Legal Entity Exception Reporting if no parents exist)

RapidLEI uses enhanced data gathering techniques to help make the process simple, but there may be several reasons why a business registry is offline, or an entity cannot be located. If this happens, our team will take over and during this time the LEI will move to '**In Progress**'. Once we've moved things forward the LEI will again be '**Pending**' on confirmation or data from you. Let's look at the 'NEW LEI(s)' workflow first...

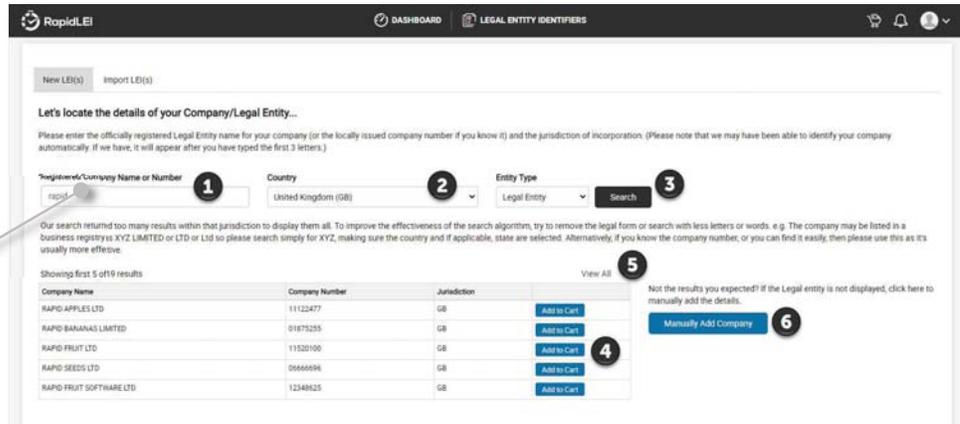


Top Tip! – You can add up to 10 LEIs into the shopping cart at once. These can also be a mixture of NEW & IMPORT to allow you to make a single payment.

Creating your first NEW LEI

Please note that the example screen shots below are fictitious, to avoid unnecessary PII data being disclosed within this user guide.

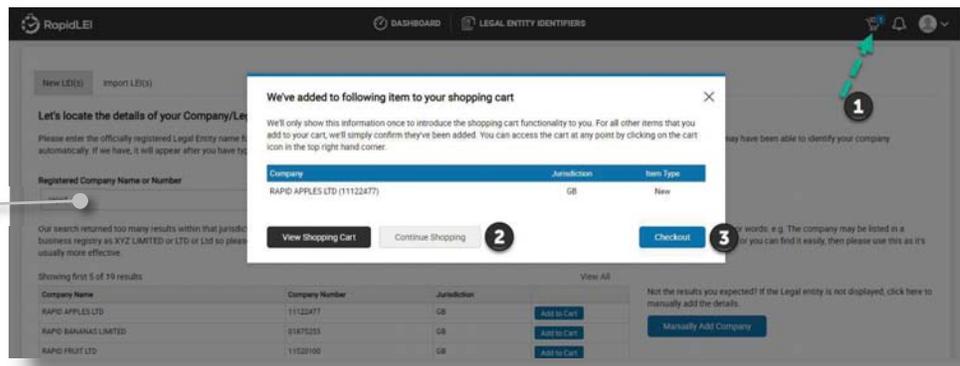
Top Tip! – If you know the company number please enter it here. It will usually provide an unambiguous result in the search result box (Assuming the Jurisdiction is correct). If you are applying for the United States, this will usually mean you need to enter the correct state. if you are applying from Canada then please either ‘federal’ or the relevant province.



- 1) Please ensure your company name is correct, or the search term is accurate. If you don't know then please enter the basic name. Avoid using Ltd or Limited as this may affect the results.
- 2) Ensure the Country is correct. If we need to know the State (For example in the United States, or Canada an additional dropdown will appear).
- 3) Select the Entity Type – Most LEIs are issued to Legal Entities, however LEIs can also be issued to FUNDS and TRUSTS, so these specialist options are available.
- 4) If we don't find the exact company name, we'll provide a list of possible alternatives
- 5) Sometimes the list is long, so we'll give you the chance to View All.
- 6) If we cannot find the details, you can manually enter. Please note that it's better to search a few times to be sure it's not found before you pick manual mode as this will require other manual steps later and manual entry is prone to typing mistakes – Please notice the example RAPID BANANAS LIMITED has a leading zero in the company number – this is an example of what can be missed if manual processes are followed.

Once you click 'Add to Cart' we'll confirm.

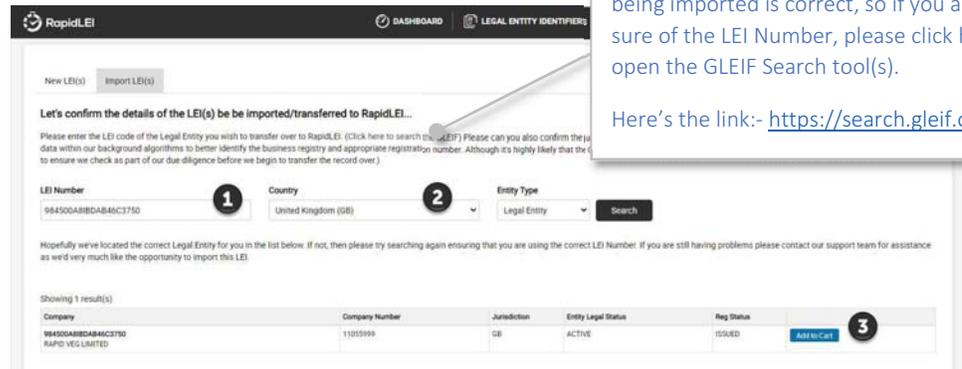
Top Tip! – If our system can ‘guess’ the name of your organization then you only need to type 3 letters for a list to open...



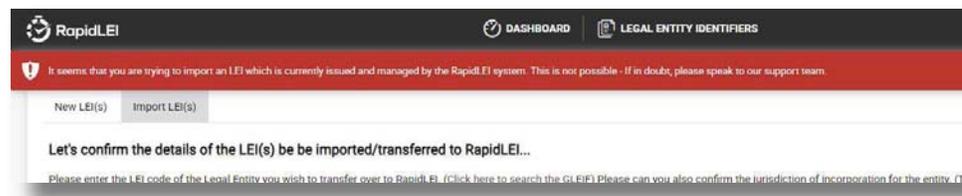
- 1) Note that the number of items in the shopping cart is displayed in the top right corner
- 2) You can Continue Shopping for more LEIs or
- 3) If you wish, you can then move to the Checkout.

Let's try to add one IMPORT before moving to the Checkout...

You can click on the Import LEI(s) tab.

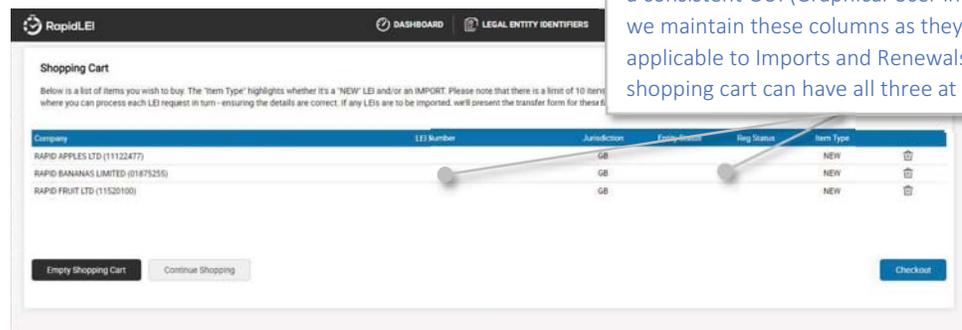


- 1) Enter the **LEI Number** to be imported
- 2) Confirm the **Country** (and State if necessary) and press **Search**. All being well, you be able to confirm the details.
- 3) **Add to Cart** will allow you to move forwards, or it will warn you if you cannot continue – for example, if the LEI is already managed by RapidLEI or a RapidLEI Partner.



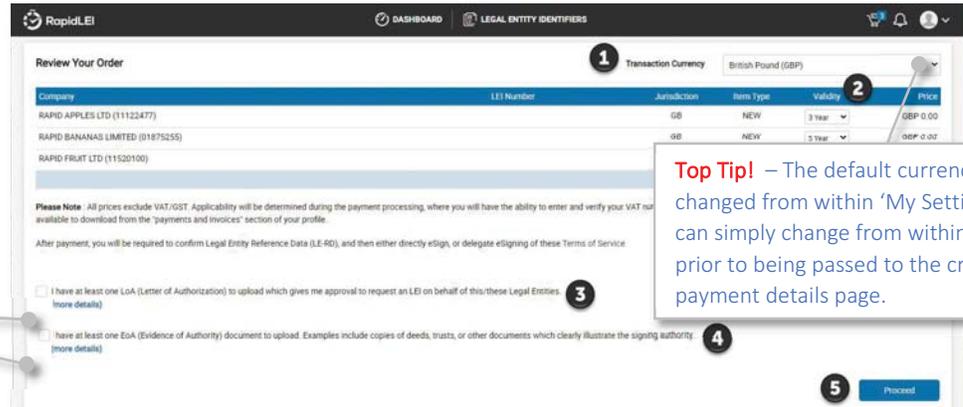
OK, so let's look at the import process later and focus on creation of the 3 NEW LEIs. Pressing the shopping cart icon takes you back to the LEIs already loaded.

If you log out and back in, we'll remember the list.



- (1) For illustration purposes the Transaction Currency is set to British Pound (GBP) and the pricing to £0.00. For the latest pricing and multi-year discounts see <https://rapidlei.com/lei-pricing/>.

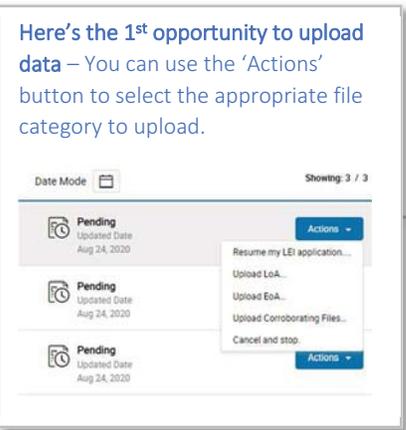
You can upload this data later – However, if you already have a signed (LoA) or (EoA) then you can tick the appropriate check box and upload the evidence on the next page. If not, do not worry, as there are several opportunities to upload LoA / EoA or additional Corroborating evidence later.



Top Tip! – The default currency can be changed from within ‘My Settings’ or you can simply change from within this page prior to being passed to the credit card payment details page.

- (2) LEIs may be purchased with a validity of **up to 5 years**. There is still an annual requirement to verify the data is current, however, the majority of LEIs issued to Legal Entities without a Level 2 parental structure are usually auto-renewed⁷.
- (3) Singular ‘retail’ LEI orders where the RapidLEI account holder is the business owner and therefore empowered to sign the Terms of Service do not require any other documentation. However, when applying for a Legal Entity where the RapidLEI account holder is NOT the business owner and therefore is NOT empowered to sign, then a Letter of Authorization (LoA) is required. Please see our [FAQ](#) for more specific details/templates.
- (4) Most applications do not require additional evidence to be submitted, however in the case of Funds, Trusts, Pensions and other similar categories of LEI, there is usually no public source of data and no registry to refer to. For these examples, Evidence of Authority (EoA) is required. This is typically a copy of a deed illustrating the key Level 1 details to be included in the LEI. It is also true for a small number of business registries. For example, Delaware in the United States. Signing Authority empowerment is not recorded by the registry; therefore, a copy of the articles of incorporation or memorandum of understanding is usually required to prove empowerment.
- (5) Pressing Proceed moves to the payment page (not illustrated in this guide) and then to the LEGAL ENTITY IDENTIFIERS view where each LEI will be ‘Pending’ confirmation from you or ‘In Progress’ with our vetting team if we’ve been unable to automatically gather the data.
- (6) At this stage, pressing the ‘Actions’ button and selecting ‘Resume my LEI application’ allows you to proceed

Here’s the 1st opportunity to upload data – You can use the ‘Actions’ button to select the appropriate file category to upload.



⁷ Auto-renewal assumes that the data remains consistent year on year. The RapidLEI vetting team will verify this with the relevant business registry. If it’s true the LEI is renewed. If there have been changes then the vetting team will reach out for clarification. All this happens 60 days prior to the renewal deadline to avoid LAPSING which is a major advantage of Multi-year.

Whether you are applying for your own LEI or on behalf of another entity, you will need to agree, or disagree to the data presented on this screen. Please note that all items marked with a red (*) are mandatory⁸.

Google Maps is there to help you – Please note that some addresses, especially if they have a ‘care of’ c/o, do not always render in the Google Map view. The map function is there to aid you construct a meaningful Level 1 address, but it should not force you to remove key items.

We do all the hard work! – The RapidLEI system uses sophisticated algorithms to present the data in the correct format. We’ll ensure the Entity Legal Status allows and LEI Number to be issued and anything we replace such as ‘Country’ or ‘State/Region’ will be highlighted with an information (i). If the data is correct, we’ll save you typing again and let you use either the Legal or Headquarters (physical) address for your invoice.

Entity Legal Forms (4 Digit ELF codes) compliant to ISO 20275 are automatically selected. The form will either have: -

- A description of the Legal Form and the corresponding ELF code, e.g. **HOP0**
- A description and if no ELF code exists for that specific legal form then 8888
- A description and if that jurisdiction does not support ISO 20275 then 9999

Registration Authorities are allocated by the GLEIF. Several may be available within your jurisdiction of incorporation. RapidLEI usually picks the most appropriate RA automatically.

- 1) If you have already indicated a Signing Authority, we’ll highlight that name at the top of the page. If you are unsure who is empowered to eSign we’ll offer choices on the next page.
- 2) The LE-RD Level 1 data is very important and needs to be accurate, matching the local business registry 100%, ideally with the same capitalization and the same company number format. Although not illustrated above, if we find alternative names (i.e. Trade names, Doing Business As, or previous names/alternative language names then we’ll also include these too.)
- 3) The **Legal Address** is defined by CDF V2.1+ as shown opposite. This means the address recorded within the business registry, noting that may be the address of an Agent for service of process.
- 4) The **Headquarters Address** is defined by CDF V2.1+ as shown opposite. In most jurisdictions, this address has some level of flexibility, but in some, based on the ELF code used, the addresses **MUST** match the registry. Please note that if the business registry supports multiple addresses and both the Legal and the Headquarters addresses can be verified against the details in the registry, then these will be **FULLY_CORROBORATED**⁹.

If you ‘Agree’ to the LE-RD data we’ve presented at this stage, you’ll be able to proceed to eSigning the Terms of Service. If you ‘Disagree’ then either tell us why or correct the data. We’ll then process your feedback.

- I AGREE that the Legal Entity Reference Data (LE-RD) shown above is correct and accurate in all respects and I'd like to progress to the next stage.
- I DO NOT AGREE that the Legal Entity Reference Data (LE-RD) shown above is correct. I'd like to amend it or provide feedback to the vetting team.

You’ll have the option to amend the invoice address to match the one of the addresses from your Level 1 data, or to provide an alternative address for this single application. If configured, you can also choose the address from ‘My Settings’, or save these values to ‘My Settings’.

⁸ Exceptions are ‘Entity Supplied Only’ LEIs such as Funds – In this case n/a (not applicable) will be inserted but this will not appear within the Common Data Format (CDF) eXtensible Markup Language (XML) files submitted to GLEIF.

⁹ If changes are acceptable, and alternative Address may be used, but the record moves to **PARTIALLY_CORROBORATED**. The RapidLEI support team will be happy to help in the event of any questions. (support@rapidlei.com)

eSigning the Terms of Service

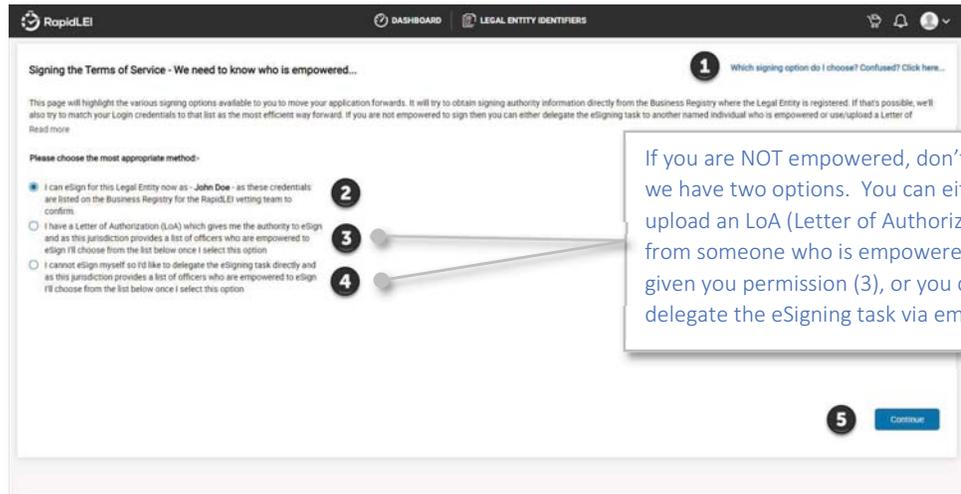
Agreement to the Terms of Service is mandatory. If you need to create, or import > 50 LEIs then please talk to our sales team (sales@rapidlei.com) as we have more efficient ways of processing the necessary agreements.

eSigning takes place after we've verified the LE-RD. This ensures the contract we create, can apply the verified company name and verified company number. We've implement a web based application from our friends at www.signinghub.com and there are several ways to proceed.

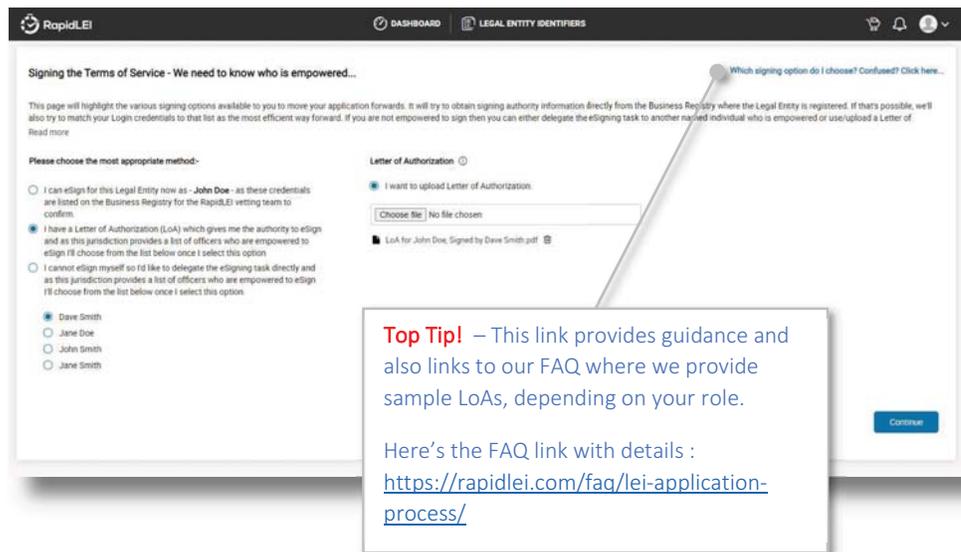
- (1) If you need guidance and you don't have this user guide handy then this link will help you.
- (2) This is the most popular option for singular orders when your First Name(s) & Last Name (chosen at sign up time and modified in 'My Settings') match the detail of the empowered signing authority in the registry. If you are confident it matches you can continue to (5)

Super Top Tip!

A Signing Authority  (let's illustrate as a green icon) who is empowered to sign on behalf of a Legal Entity, can grant approval to an Applicant (RapidLEI user illustrated as a black icon) via a signed Letter of Authorization.

- (3) If you click on the 2nd option and a list of names appears, then it means our direct API link with the business registry has highlighted how can sign or our vetting team have manually added a list of names. You can pick one and upload the appropriately signed LoA.

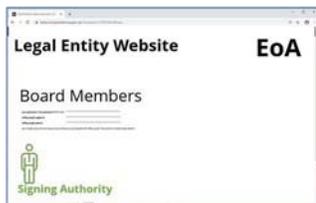


Super Top Tip(s)!

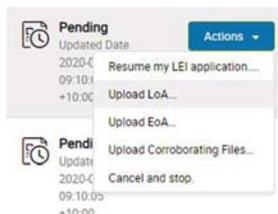
What happens if there are no names to choose from? Sometimes this happens. For example, if the registry does not record names, or the LEI is for a Fund/Trust. In these cases, please upload additional data to prove the empowerment. We call this 'Evidence of Authority'



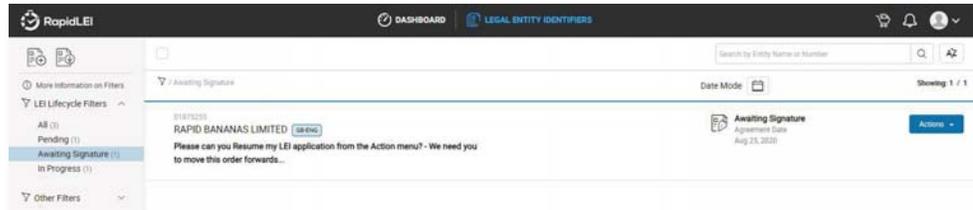
Examples which highlight the empowered signing authority are Articles of Incorporation, MOU, Board Resolution or a link to the Board members indicated on a corporate web site.



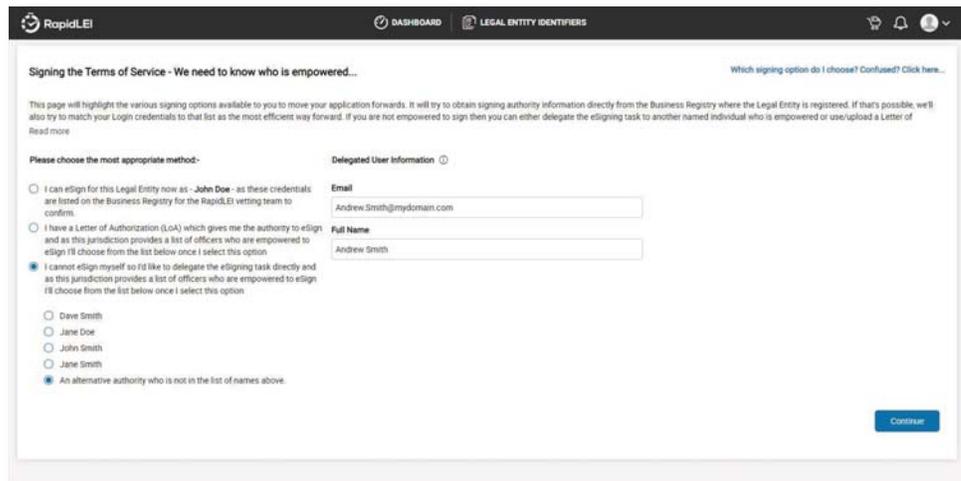
You can upload documentation at any point by clicking on the Actions menu:



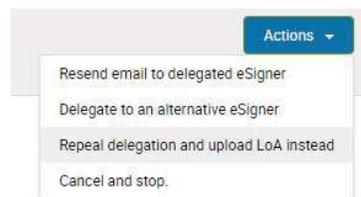
Do not worry if you do not have the document to upload, you can move back to the DASHBOARD, or the LEGAL ENTITY IDENTIFIERS view and the status will change to 'Awaiting Signature' – Our team might well see this and update the Status of the LEI providing feedback on what to do next as shown in the example below.



- (4) A useful alternative is to delegate the eSigning Activity to someone who is empowered by email. This third option will also provide a list of names if possible or allow you to send to an alternative person not listed as shown in the example.



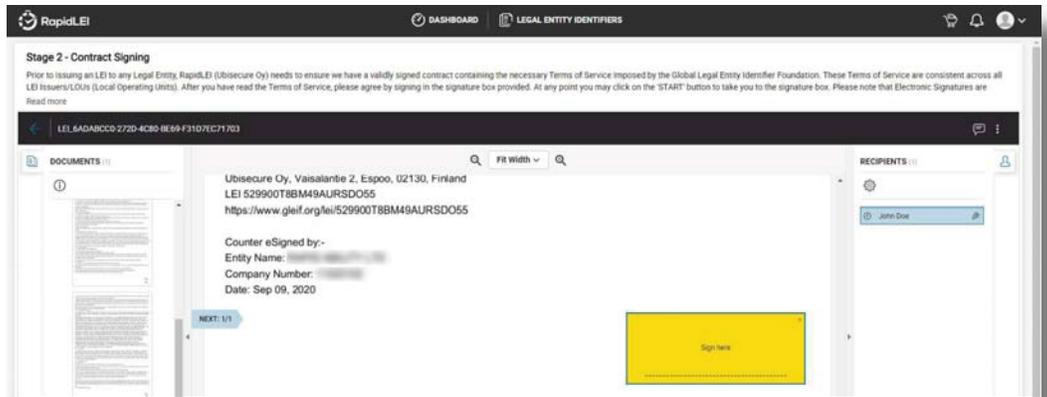
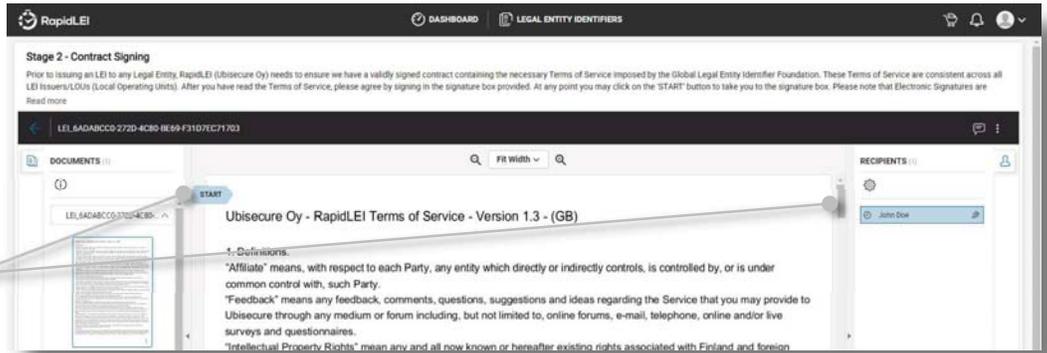
If you do forward to an alternative signing authority by email and for some reason they decline, or you wish to delegate to an alternative signer, or you wish to sign yourself and upload an LoA instead, then all these options are available from the Actions menu:-



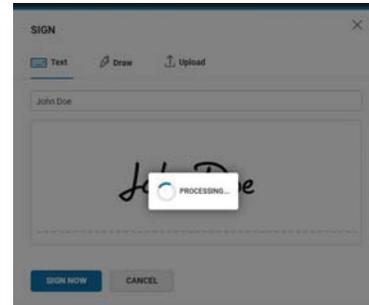
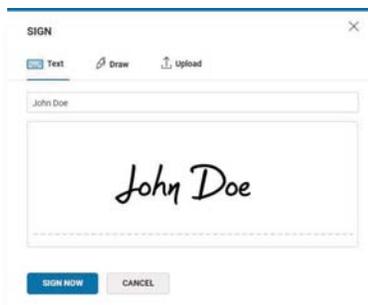
If you are empowered or you have uploaded and LoA granting you empowerment to eSign then please press 'Continue' and the contract will be created.

The RapidLEI Platform prepares the contract, ready for eSigning including your Entity Name and Number. You'll find the Terms of Service on our website <https://rapidlei.com/documents/global-lei-system-terms/>

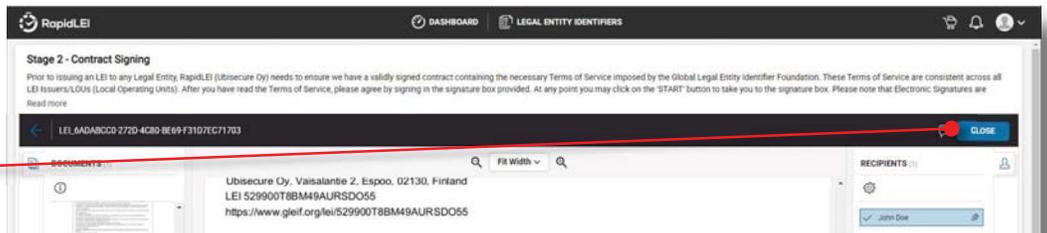
Top Tip! – You can scroll through the document to read it, however, if you are ordering several LEIs you can skip forwards to the eSigning Window by clicking on the blue 'START'



Choose a style that suits your company processes. Text, draw or upload and choose SIGN NOW



Super Top Tip! – When you've finished, don't forget to press the 'CLOSE' button. If you accidentally close the browser, you can simply choose to Sign again and you'll see the signed document ready to close.



The final stage in the process – Level 2 Parental Structure

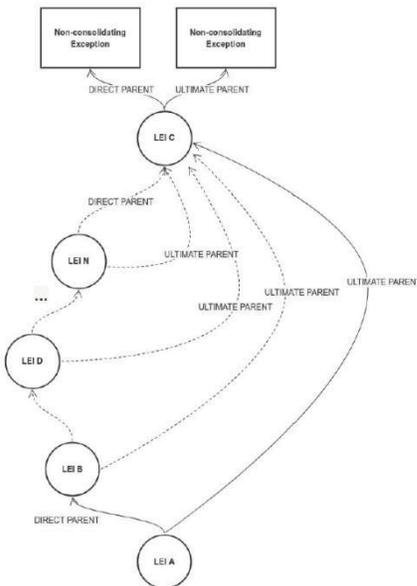
Introduced to the GLEIS in May 2017, Level 2 data answers the question of ‘Who owns Whom’ and is a mandatory requirement to report. (Although exceptions are available for exceptional circumstances. For example, if your business reputation could be damaged if the level 2 data were to be made public.)

Please note that Level 2 reporting is focused on Accounting Consolidation. If you do not consolidate with a parent (Direct or Ultimate) then under the definition for level 2 you do not have parents and you may report exceptions. There is also the special case where you may be an ‘INTERNATIONAL_BRANCH_OF’. We’ll deal with this later in this chapter.

Super Top Tip! –
Try to remember the simple rule - No consolidation of Accounts = No Parents!

Direct Parent	Ultimate Parent	Reference Data (LE-RD)	Relationship Record (LE-RR)	Parent Reference Data (PRD)*	Exception Record (LE-ER)
Does not Exist	Does not Exist	Yes CDF	-	-	☒ and ☒
Does not Exist	Exists (LEI)	Yes CDF	Yes U	-	☒
Does not Exist	Exists (no LEI)	Yes CDF	-	Yes U	☒ and ☒
Exists (LEI)	Does not Exist	Yes CDF	Yes D	-	☒
Exists (LEI)	Exists (LEI)	Yes CDF	Yes D & U	-	
Exists (LEI)	Exists (no LEI)	Yes CDF	Yes D	Yes U	☒
Exists (no LEI)	Does not Exist	Yes CDF	-	Yes D	☒ and ☒
Exists (no LEI)	Exists (LEI)	Yes CDF	Yes U	Yes D	☒
Exists (no LEI)	Exists (no LEI)	Yes CDF	-	Yes D & U	☒ and ☒
International Branch Office		Yes CDF	Yes (Branch)	n/a (Head offices MUST have LEI's)	n/a (Head offices MUST have LEI's)
Fund		Fund is a special Entity Category, however reporting of Level 2 information is still applicable if the fund consolidates to other entities.			
Sole Proprietor		Sole Proprietor is also a special Entity Category, however reporting of Level 2 information is still applicable even though in most cases this will be LE-ER only			

Here’s an example DIRECT/ULTIMATE structure of consolidation (LE-RR) and reporting exceptions (LE-RE). If you do not consolidate accounts, then you can pick the most appropriate reason and choose ‘Next’, ‘Next’ and Submit.



Super Top Tip! –
You should not add any details here unless you need to by policy. Any details added will become public as part of the LE-RE data.

If you do consolidate, then you need to let us know the LEI of your Direct/Ultimate parents, or the details of the parent if they do not yet have an LEI Number.

Top Tip! - In GLEIF terms, you are classed as the 'Child' Entity. If you do not consolidate accounts then you **do not have any Parents** then you can select the 1st option, choosing, **Next, Next and Submit** to complete the process – See next page...

Top Tip! - In GLEIF terms 'Parents' are identified following international accounting standards, so it's mandatory to enter the accounting period the Direct parent uses. The most usual case is 01/01/XXXX to 31/12/XXXX.

The Relationship Period, which is also mandatory, can be added below. (This is the date at which this relationship between parent and child began)

Direct Parents Details – In this first tab, select whether your parent has an LEI Number or not. If you are not sure simply type their name and we'll present a list of choices. If you know they do not, then select the 2nd option and enter their details. Our forms will use the same algorithms that we used to identify you to help ensure accuracy.

Accounting Practices followed? – We'll need to know if the accounting practice is 'Generally Accepted Accounting Practice' or alternative 'Local Accounting Standards'. Our FAQ has lots of information to help you decide.

Finally, we need to know the type of Validation Document you'll be submitting to us, or the external web address/SEC filing link etc. Again, there are lots of examples listed in the FAQ section of our web site.

If your Parents do not have LEIs, we'd still like to know who they are. This data will be submitted to the GLEIS system but will not be made public by the GLEIF. An exception of **NO_LEI** is used.

Use our built-in tools to help improve data quality of submitted Parental Data in multiple jurisdictions.

Many of the jurisdictions we support have the ability to directly connect to the business registry to obtain accurate Company Names and Company Numbers for Parents, but in the event that we cannot locate the correct details, please enter these manually. Our vetting teams will corroborate the data with copies of accounts submitted in the final step.

When you have completed this form for the Direct Accounting Parent, click ‘Next’ and complete the request for the Ultimate Accounting Parent (They may be the same if there’s a single parent)

Finally, if you’ve not provided a web link to a relationship document in the previous section, then please upload a document. If you can provide a useful name for the document that includes a page reference to the relationship it will help us verify the data and therefore speed up your application. e.g.

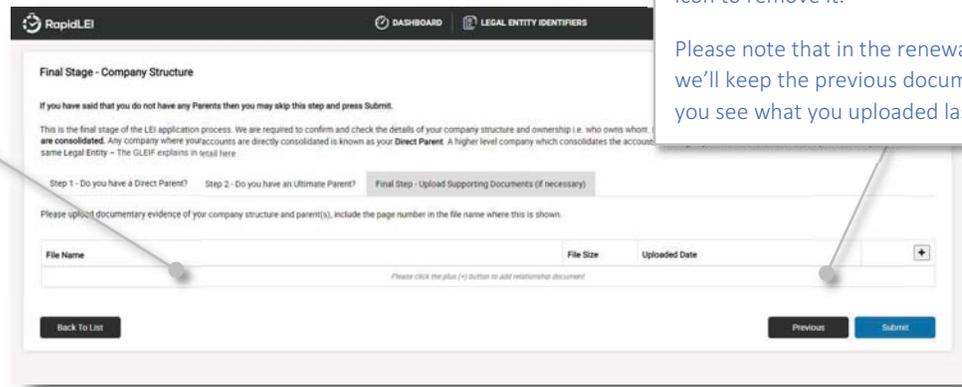
Consolidated Accounts for XYZ Limited 2020 (Relationship to parent ABC Inc on page 22) .pdf

This is all about efficiency speed and accuracy, so our team really appreciates any help you can provide to point us in the right direction.

If you change your mind – No problem – If you decide the document you’ve uploaded isn’t suitable after all, then select the ‘bin’ icon to remove it.

Please note that in the renewal workflow, we’ll keep the previous documents to help you see what you uploaded last time.

Top Tip! - When you upload a document using the ‘+’, adjust the file name to let us know where to find the details of the relationship. It will help us all.

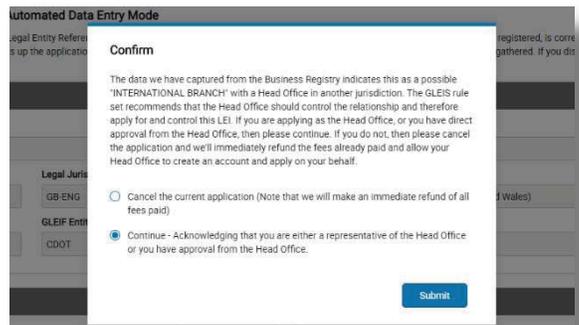


If we are able to issue the LEI automatically we will let you know on the next screen. If not, we’ll inform you, and our vetting team will process your application as soon as possible. Any level 2 data uploaded to the system will always need our team to look at the details – only reporting exceptions have the ability to be immediately issued.

Level 2 edge cases - International Branch offices (0.1% of LEIs)

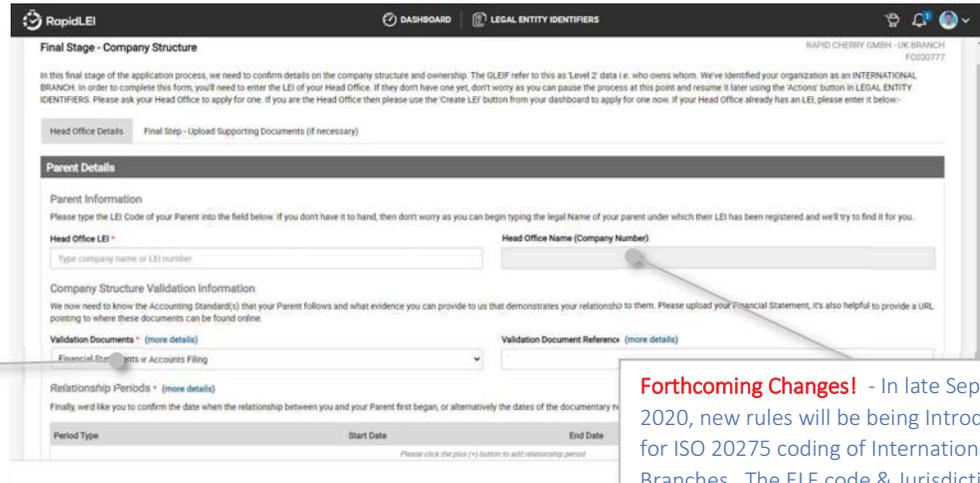
Full background details on this subject are available from the 2016 LEI-ROC¹⁰ document, but in very simplistic terms, a head office may nominate **a single INTERNATIONAL BRANCH per country** to obtain a LEI Number. **The Head Office must have its own LEI** and it must not be LAPSED. If the Head office LEI does LAPSE, then the INTERNATIONAL BRANCH will also LAPSE. The RapidLEI system uses the foreign/overseas nature of the record from the Business Registry to identify an entity as a Branch and request confirmation the LEI is required.

Please NOTE that only International Branches may obtain an LEI Number. Foreign Qualification of a Delaware based corporation in an alternative US state does not qualify. The qualification must be outside of the United States for the branch to be recognized as ‘International’



¹⁰ The LEI ROC (LEI Regulatory Oversight Committee) provided the background on including international/foreign branches in the Global LEI System here https://www.leiroc.org/publications/gls/roc_20160711-1.pdf

The Level 2 page for an International Branch looks slightly different to the usual Level 2 as there's only one Tab for the Head Office LEI details and one tab for any supporting documents.



Top Tip! - International Branches do not ordinarily consolidate accounts, so the default Validation Documents usually flip to 'Other Official Documentation' which is most usually the information from the

Forthcoming Changes! - In late September 2020, new rules will be being Introduced for ISO 20275 coding of International Branches. The ELF code & Jurisdiction of the Head Office (Parent) will now be required, instead of the Child Data. These values will eventually be shown here and also captured in the CDF LE-RD XML data.

Branches are Indicated via the (BRANCH) indicator as shown in the screen shot below.

Whilst we are looking at the LEGAL ENTITY IDENTIFIERS list page, let's look at a few of the key features designed to help you understand where to focus.

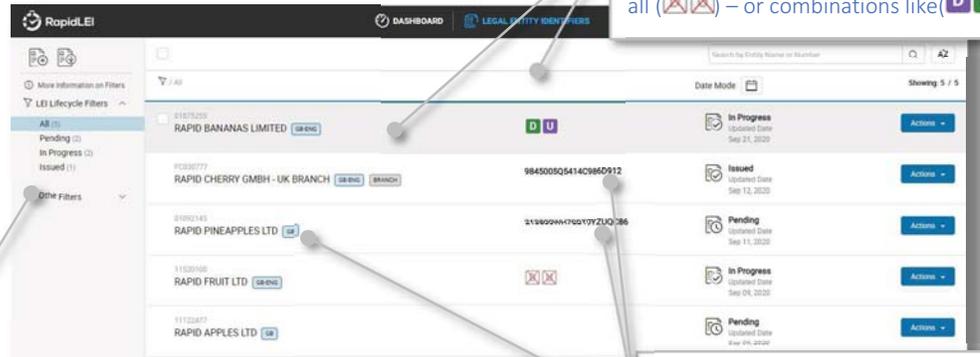
It's always best to clear anything that's 'Pending'

Top Tip! – Make sure you focus on Pending LEIs. These are where we require help from you to help us move forward such as confirming changes or adding missing information. You should also ensure no LEIs are Awaiting Signature.

Here are some of the other possible filters you'll encounter. The Glossary of Terms at the rear of the guide covers these in detail.

- Other Filters
- To Be Renewed (0)
- Lapsed (0)
- Awaiting Signature (0)
- Declined (0)
- LEI Transferred (0)
- Import in progress (0)
- Duplicate (0)
- Merged (0)
- Retired (0)
- Annulled (0)
- Ready to Publish (0)
- Cancelled (0)
- Authority Check (0)

Level 2 Reporting Indicators – Instantly see whether you have reported Direct or Ultimate parents that have an LEI (DU), do not have an LEI (DU), or do not exist at all (XX) – or combinations like (DU)



Level 1 Identity Summary – See the Entity Legal Name, the Registration Authority Entity ID, Jurisdiction and the LEI Number.

IMPORTING (Transferring) your first LEI

Please note that the example screen shots below are fictitious, to avoid unnecessary PII data being disclosed within this user guide.

So far, we've only briefly covered Imports (Transfers), so now, let's take a deeper dive. Let's answer a simple question - 'Why do LEIs need to be imported (transferred)?'

LEIs need to be maintained. Every day, in fact multiple times per day for RapidLEI, we upload the entire database of managed LEIs to the GLEIF. All our LE-RD, LE-RR and LE-RE data along with other LEI Issuer (Previously known as Local Operating Units (LOUs)) data is combined by the GLEIF into a singular 'Concatenated' ¹¹ file as well as a singular 'Golden Copy'¹².

Only one LEI Issuer may 'manage' the LEI at any one time. Speed, accuracy of data as well as other services determine the best choice of LEI issuer. As of September 2020 RapidLEI, issues roughly 1 in 5 of all New LEI's globally. Our growing customer base therefore move any existing LEIs to us to manage (amend/update and renew).

The process is as follows and has these three main participants: -

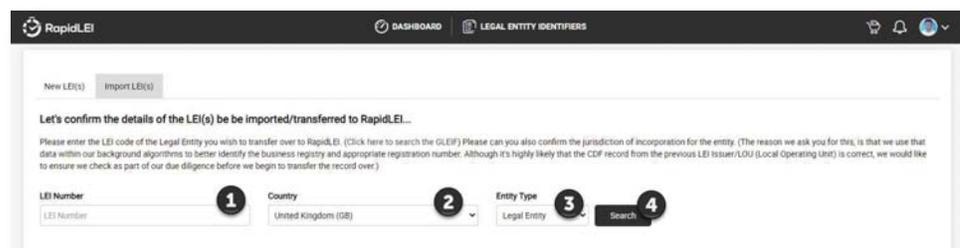
Sending LOU – This is the LEI Issuer currently managing the LEI

Receiving LOU – This is the LEI Issuer wishing to manage the LEI in the future (i.e. Importing it i.e. RapidLEI)

The Legal Entity – This is the business or owner of the LEI wishing to move it

- The **Legal Entity** requests the **Receiving LOU** to import the LEI on their behalf
- The **Receiving LOU** verifies the LE-RD data and empowerment to request and if approved submits a **TRANSFER REQUEST** form to the **Sending LOU**.
- The **Sending LOU** has 4 days to contact the **Legal Entity** to approve the transfer, during which time the **Sending LOU** sets the **Registration Status** of the LEI to **PENDING_TRANSFER**
- If approved by the **Legal Entity**, the **Sending LOU** sets the **Registration Status** of the LEI to **PENDING_ARCHIVAL** and the **Receiving LOU** has 3 days to complete the Import and set the **Registration Status** to **ISSUED**
- If the **Legal Entity** objects, the **Sending LOU** sets the **Registration Status** back to the previous state.

Once you click on the Import LEI(s) icon you'll be presented with a form

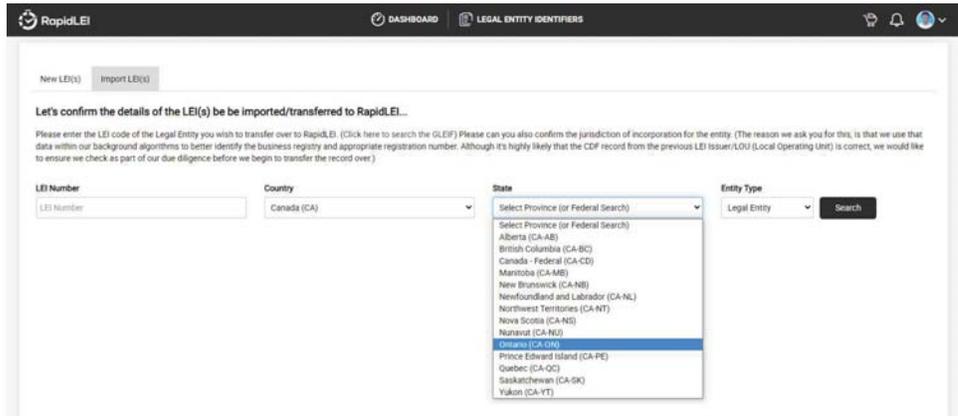


- 1) Enter the LEI number to be imported from the Sending LOU currently managing the LEI to RapidLEI
- 2) Ensure the Country is selected (To help verify the LEI selection)
- 3) Optionally highlight the entity type
- 4) Select 'Search'

¹¹ Details on the Concatenated files can be found here <https://www.gleif.org/en/lei-data/gleif-concatenated-file>

¹² Details on the Golden Copy Files can be found here <https://www.gleif.org/en/lei-data/gleif-golden-copy>

In certain jurisdictions you may be required to select the appropriate state (US) or province (Canada) etc



If the LEI Number is found and it can be imported, we'll confirm the results

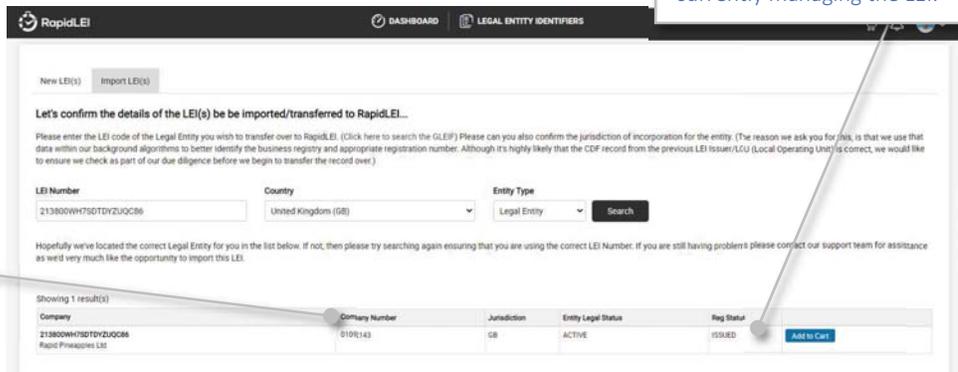
An Entity Legal Status can be either ACTIVE or INACTIVE

Imports are allowed if the Registration Status is ISSUED or in some cases LASPED with special cases of RETIRED or MERGED.

Imports **are not allowed** if ANULLED, DUPLICATE, PENDING_TRANSFER or PENDING ARCHIVAL.

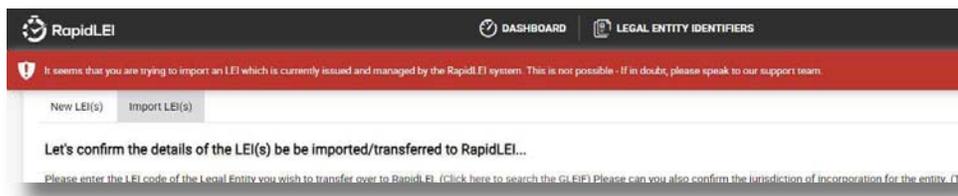
Super Top Tip! - If the Registration Status is either RETIRED or MERGED then we'll ask you for some additional background information on why you wish to import these LEIs to RapidLEI. This information will be sent directly to the Sending LOU currently managing the LEI.

Top Tip! - Sometimes, older LEI's do not contain the minimum information required, such as company number or Jurisdiction of incorporation so we may ask you to confirm these missing items. This helps our vetting team and improves speed/accuracy, so we appreciate you checking the details are correct.



As we've seen on the previous table, filling in the Transfer Request form for an import takes priority, so let's look at this first.

If you see this message the LEI may already be managed by RapidLEI through a partner. Please speak to our support team if you are in any doubt. support@rapidei.com



Once you have processed payment to import the LEI, you'll be presented with the Transfer Request Form. This is where you highlight the details of the authority requesting the import. Please note that we are required to take slightly more detailed information such as phone number and email of the person making the request. These should ideally match the empowered individual who usually signs LEI terms of service. If not, then LoA's need to be uploaded. These additional details are mandated by the GLEIF, as is the content of the transfer form.

From a GDPR (General Data Protection) perspective, we've used "Privacy by Design" principles, and therefore we only take data from you when we need it and only for a specific reason.

Here is one of those times.

We need to inform the current managing LOU of a phone number as the GLEIF transfer rules mandate this data is sent.

Except for your phone number (unless you have added it) data will be populated automatically from "My Settings" and from data in the CDF record of the LEI to be imported.

If you don't have permission to request the transfer you can change these details to allow the existing LOU to decide. This may be the name and email of a colleague who is empowered if you are not.

If you are transferring multiple LEIs at once, the system will allow you to submit each Transfer Request individually, or if the LEIs are for a single group of companies with the same authority, then they can be sent all at once.

Once the transfer form has been submitted, we'll take you to the **LEGAL ENTITY IDENTIFIERS** list where you can choose 'Resume my LEI application...' for each LEI you wish to import and the process from this point is identical to the creation of a new LEI.

Here's a basic overview of the process...

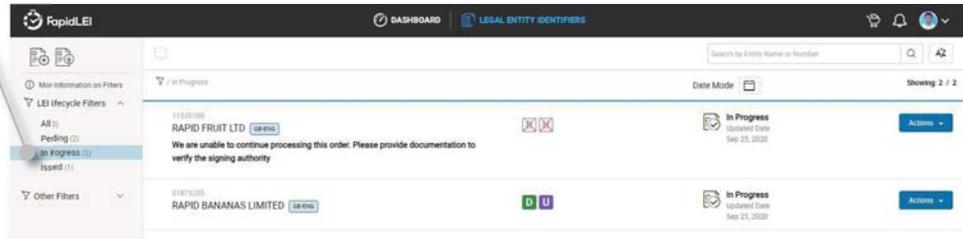
Legal Entity (i.e. You)	Receiving LOU (RapidLEI)	Sending LOU (Managing LOU)	Registration Status	Notes
Request made to Import/ Transfer the LEI	Check LE-RD, LE-RE/LE-RR and if OK Submit the Transfer Form		Must be either ISSUED, LAPSED, RETIRED or MERGED	If RETIRED or MERGED RapidLEI will need to know why you are transferring. ANULLED/DUPLICATE/PENDING_XX cannot be transferred.
Wait	Wait	Receive Transfer Form and Check with Legal Entity	Set to PENDING_TRANSFER	The Managing LOU has 3 days to obtain approval or rejection to the request.
Approves the Transfer	Monitor Status and Action. RapidLEI will ISSUE the LEI	Accept the Transfer	Set to PENDING_ARCHIVAL	RapidLEI has 4 days to import the LEI, however as all work has been done already the Import Process is immediate
Rejects the Transfer	Monitor Status and Action	Reject the Transfer Request	Set back to the previous Registration Status	

How to check what’s happening...

The RapidLEI vetting team perform their mandatory checking actions as quickly as they can, but sometimes the information they need isn’t available on the registry, or the registry is offline, or in the case of FUNDS there usually is no registry, so they need additional proof(s) from you.

When it’s ‘Pending’ then it means it’s your turn to move the order forwards; This may be to verify data we’ve found or to simply move to the next stage in the process such as uploading Level 2 data.

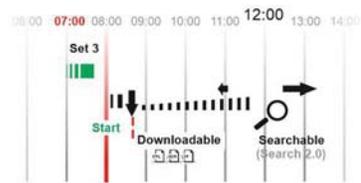
Generally, when it’s our turn to do something, the activity will be ‘In Progress’, but please do check there for details too. We’ll inform you via the messaging system (See below for an example)



Regularly Check the ‘In Progress’ filter.

We’ve mentioned that Pending orders require action from you, but sometimes the action is with our Vetting team (i.e. the order is “In Progress”). If we are delayed, for example a business registry is offline, we let you know. However, we may need your help to submit additional information or, as in the example

Taking the 08:00 UTC publication...

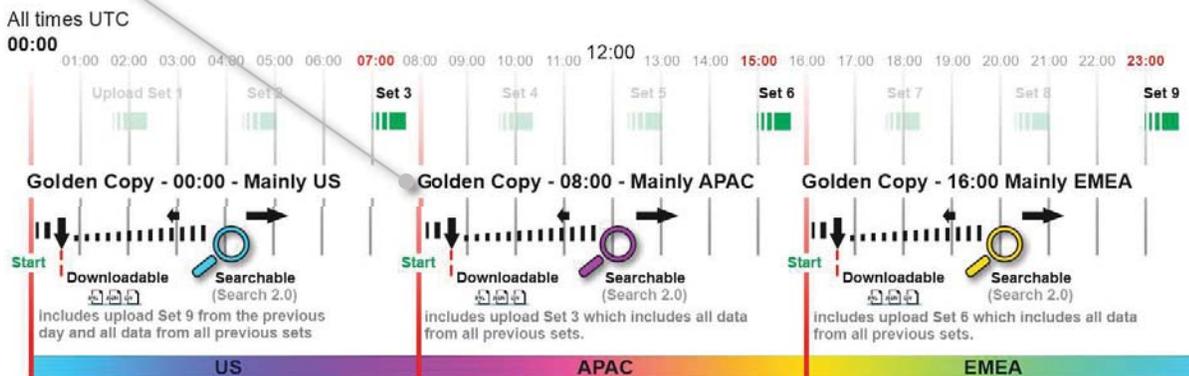


Rapid LEI publishes 9 times per day. The 3rd set at 07:00am is used at 08:00am by GLEIF to build their ‘Golden Copy’ in roughly 30 minutes and between 2 and 5 hours later LEIs will appear on Search 2.0

When does my LEI Number appear in the GLEIS?

Sometimes our fully automated systems will take your request completely through the process without any additional checks being necessary. In this case, you’ll immediately see the LEI has been issued and published (‘Issued’ filter), or is about to be issued (‘Ready to Publish’ filter). You may also see your LEI in the ‘Authority Check’ filter. This is where we are checking documentation such as the LoA before we can issue it. Here there’s a very good chance the LEI number will remain the same, but only when those checks have been completed is the number valid.

Once we’ve issued the LEI and published to GLEIF it can take a number of hours to become ‘live’ within the GLEIF searches. Serach 1.0 is being deprecated at the end of 2020, so this guide will focus only on Serach 2.0 (<https://search.gleif.org/>) which is updated 3 times per day for the 3 main time zones. If you miss one 8 hour slot, you’ll catch the next so roughly speaking it takes between 3 and 11 hours once we issue it.



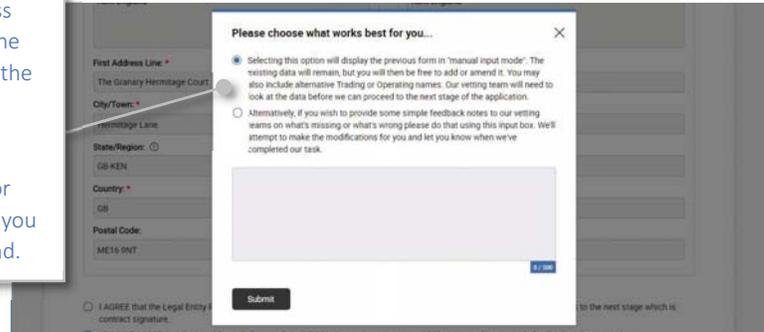
LEI Search 2.0
Updated 3x per 24 hours

This is great, but what happens if something goes wrong?

Automation using 'live' data is great, but just like working with animals and children, things can occasionally not go to plan. Virtually all Business Registries close for periodic maintenance and some even close overnight to consolidate their daily database activity. Here's what happens in this situation.

Business Registry offline? If the business registry is offline, or information from the registry is incomplete, you'll be offered the opportunity to complete the process manually.

It's same process for correcting errors or adding alternative trading names when you 'DO NOT AGREE' to the data we've found.



Once you've submitted comments or manually entered details our team will quickly verify the data. This may only be possible if the business registry is back online, so many thanks for your patience.

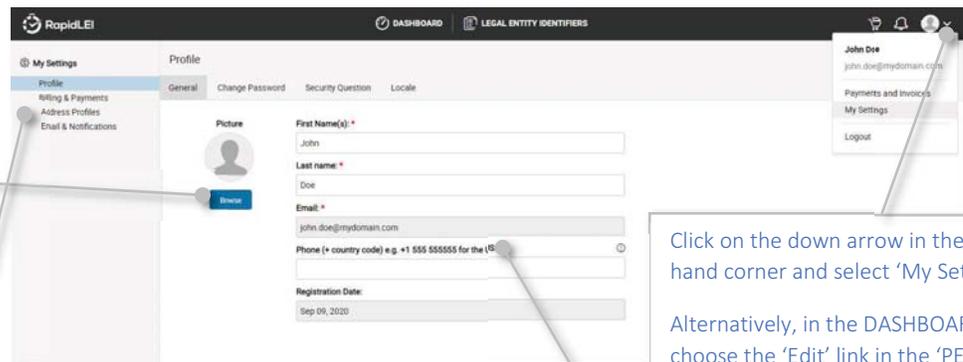
As soon as we've completed our validation, we'll send you an email to resume the application in which case the LEI will move to 'Pending'.

Customize your DASHBOARD, configure billing, addresses etc.

If you'd like to make things more personal, then you can upload a photo or avatar and configure other settings such as default billing address, time/date format and even add Address Profiles to make it faster to update address data for multiple existing LEIs or make it quicker to provide Headquarter addresses for new LEIs. All these can be managed in 'My Settings'.

Change your Picture

Use the Browse to choose a file which can be a photo or an Avatar and use the cropping functions to select the best size (square is best)



Click on the down arrow in the top right-hand corner and select 'My Settings'.

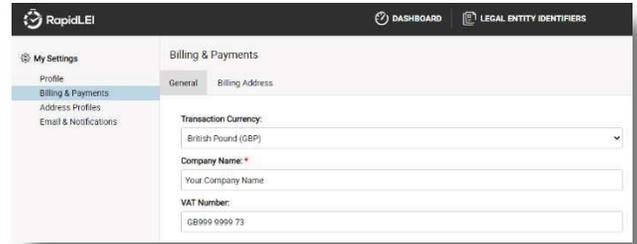
Alternatively, in the DASHBOARD view choose the 'Edit' link in the 'PERSONAL INFO' section.

Top Tip! - Address Profiles is a useful function that allows you to add multiple addresses to your account and to use these when applying for LEIs. It's very efficient where multiple FUND based LEIs are needed i.e. where there's no registry to connect to and therefore manual entry is required.

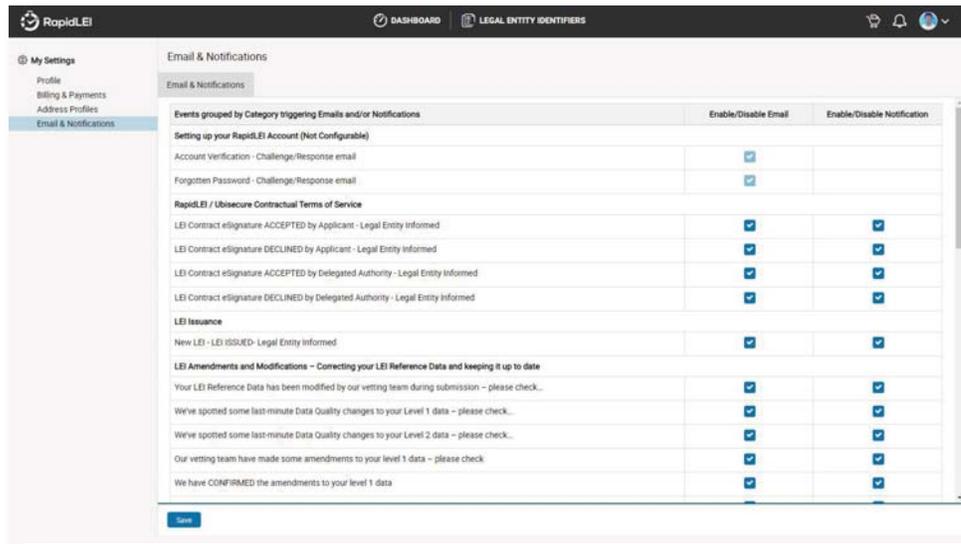
You cannot amend your email address, but you are free to amend First Name(s) and Last Name or add your phone number if you wish to speed up the process of importing.

Billing & Payments allows you to configure a default Billing Address and confirm your VAT/GST number, Company Name and Transaction Currency.

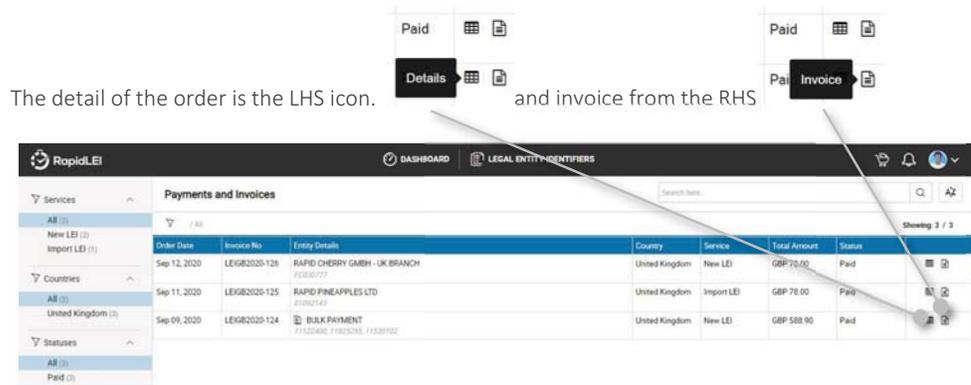
For additional questions relating to specific billing issues, please see the RapidLEI FAQ



The Email & Notifications section allows you to turn on/off various emails and in-app notifications. We only suggest doing this if you are a partner of RapidLEI and managing a large volume of LEIs with alternative renewal tracking reminders etc.



The final option from the right-hand side is the **Payments and Invoices** option. It allows you to view the orders you have made, tracking New LEIs, Imported LEIs and later on renewed LEIs. If you requested several LEIs in a single transaction, you'll see the 'BULK PAYMENT' icon and the Company Numbers for each LEI requested (Up to 10).



LEI Renewal – Maintaining ISSUED – Avoiding LAPSED!

The renewal process has been streamlined to help you.

Use your DASHBOARD to help guide you

If you select 15 days from the dropdown, the dashboard will display the LEIs which are due to expire in the next 15 days. You can renew up to 60 days prior to LEI expiry, so it's always better to act quickly and renew early, so 60 days is recommended.

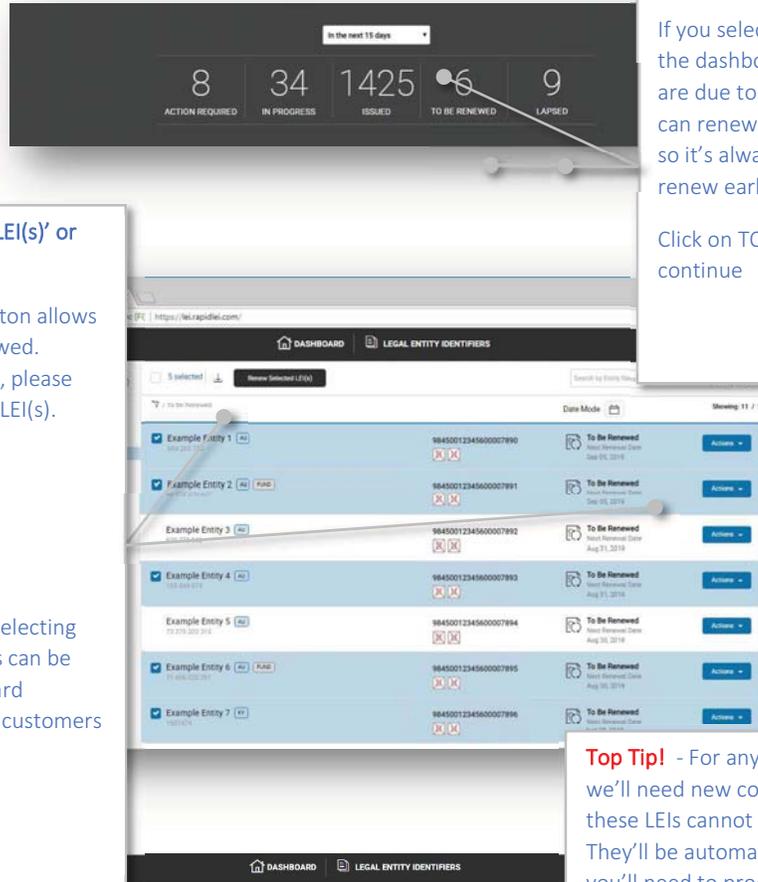
Click on TO BE RENEWED or LAPSED to continue

You can renew 'Renew Selected LEI(s)' or individually

Each individual blue 'Actions' button allows all LEIs, or a single LEI to be renewed. Alternatively to renew all at once, please select the black 'Renew Selected LEI(s)'.



LEIs can be added all at once by selecting the tick box and any selected LEIs can be exported to an xlsx file for onward processing, checking or invoicing customers and partners.



Top Tip! - For any LEI with level 2 parents, we'll need new consolidated accounts, so these LEIs cannot be renewed all at once. They'll be automatically deselected, and you'll need to process individually.

Let RapidLEI do all the heavy lifting...

The concept of the renew function is very simple. We'll do all the heavy lifting for you. We'll find the necessary data from the business registry and process your application swiftly if it's from one of our fully automated jurisdictions.

All we need is confirmation from you that nothing has changed, or if it has, details about the changes.

If you have changes to report, please deselect the 'My data is the same as last year' tickbox and the LEI will move to the PENDING menu.

LEI Renewal

Below is a list of one or more LEIs you have chosen to renew. If **nothing has changed from last year** then RapidLEI endeavours to keep the renewal process simple. **My data is the same as last year** for all LEIs where it's possible to proceed without adding more data. If we need updated Level 2 documents from you then we've checked the box.

Please ensure the details are correct in the summary. If you want to dive a little deeper you can use the Actions menu to view the Reference Data or Relationship Record from last year. You will also check that the signing authority is correct. If the authority has changed then please update the name, and if needed, upload a new Letter of Authorization.

If **something has changed** and you want to let us know, then uncheck the confirmation box, click 'Renew/Amend' and then look at your 'Pending' LEIs to tell us what has changed by resubmitting an application for each LEI where the data is different.

Entity	Company Number	Jurisdiction	Signing Authority Name	Entity Status	Reg Status	Level 2	My data is the same as last year	Actions
Example Entity 1	155 844 974	AU	First Last1	ACTIVE	ISSUED	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Actions
Example Entity 2	155 844 974	AU	First Last1	ACTIVE	ISSUED	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Actions
Example Entity 3	155 844 974	AU	First Last1	ACTIVE	ISSUED	<input type="checkbox"/>	<input type="checkbox"/>	Actions
Example Entity 4	155 844 974	AU	First Last1	ACTIVE	ISSUED	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Actions
Example Entity 5	155 844 974	AU	First Last1	ACTIVE	ISSUED	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Actions
Example Entity 6	155 844 974	AU	First Last1	ACTIVE	ISSUED	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Actions
Example Entity 7	155 844 974	AU	First Last1	ACTIVE	ISSUED	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Actions

Top Tip! - Make sure the signing authority is still correct. You can amend the authority or upload a new LoA using the 'Actions' button.

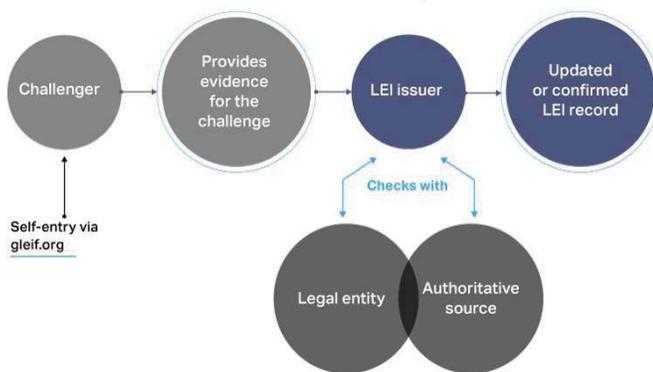


The Challenge/Amendment Process (LE-RD)

It's very important to ensure the Level 1 Legal Entity Reference Data is correct throughout the lifetime of the LEI. Please select the appropriate 'Actions' button dropdown and select 'Amend Reference Data' as shown below to submit the changes to the RapidLEI vetting team for renew/approval.



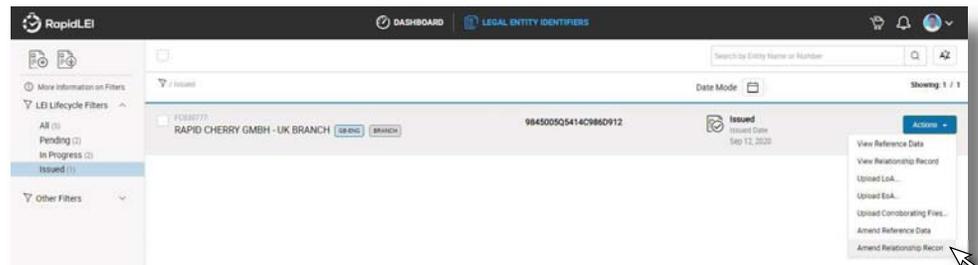
During the lifetime of the LEI the GLIEF may challenge the LE-RD (See diagram). Their process is public and is highlighted on <https://www.gleif.org/en/lei-data/challenge-lei-data>. RapidLEI will verify via an authoritative source and correct any errors within the LE-RD. If the errors are



technical, we'll not reach out for consent. However, if the errors involve a modification to underlying LE-RD we'll reach out by email and ask for consent before making the changes. Please note that if the company name or the company number changes, we'll also reach out, but we'll also highlight that we need a new contract signature. The previous version will have been invalidated by the change – We'll ensure we make it clear and if we do modify the LE-RD we'll highlight this in red to help you.

The Challenge/Amendment Process (LE-RR)

It's also very important to ensure the Level 2 Relationship Records are correct throughout the lifetime of the LEI. Please select the appropriate 'Actions' button dropdown and select 'Amend Relationship Record' as shown below.

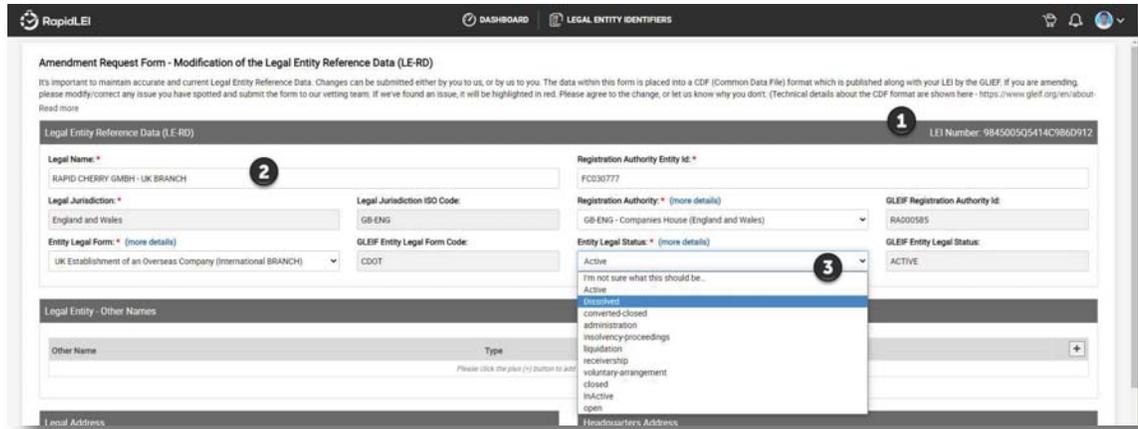


Challenges from the GLIEF may also affect LE-RR data. We'll also reach out by email with instructions on how to validate the changes made to the records.

Retiring (closing a Legal Entity).

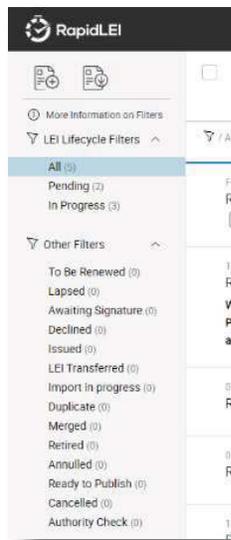
In the case of a closure of a company (i.e. moving the status of the LEI to RETIRED), you can request our vetting team to process the closure by clicking on the ‘Amend Reference Data’. We’ll open up a new browser window and you can check the LEI Number (1) and the Company Name (2) and select the change of status (3).

Please note that the lifecycle of a company may move from **Active** to **In Liquidation**, to **Dissolved** or back to **Active** and each possible lifecycle changes depends on the Jurisdiction of Incorporation and regulations/legislation. The United Kingdom is illustrated below. Our vetting and customer service team will help with this area should you have any questions or concerns.



The screenshot shows the 'Amendment Request Form - Modification of the Legal Entity Reference Data (LE-RO)'. It includes a header with 'RapidLEI', 'DASHBOARD', and 'LEGAL ENTITY IDENTIFIERS'. Below the header is a sub-header 'Amendment Request Form - Modification of the Legal Entity Reference Data (LE-RO)' and a note about data accuracy. The form contains several sections: 'Legal Entity Reference Data (LE-RO)' with fields for 'Legal Name' (Rapid Cherry GmbH - UK Branch), 'Legal Jurisdiction' (England and Wales), 'Entity Legal Form' (UK Establishment of an Overseas Company), 'Registration Authority Entity ID' (FC030777), 'Registration Authority' (GB-ENG - Companies House), and 'Entity Legal Status' (Active). A dropdown menu for 'Entity Legal Status' is open, showing options like 'Dissolved', 'Converted-closed', and 'Administration'. A 'Legal Entity - Other Names' table is also visible at the bottom.

Once the Amendment request has been made, the LEI moves to ‘In Progress’ for the team to verify changes. If the Legal Entity has been Dissolved, this will be confirmed by the vetting team, the legal Entity Status will be updated to INACTIVE and the date of the change recorded in the LEI CDF record with Registration Status ‘RETIRED’ and as well as being listed in the ‘All’ filter it will also appear in the “Retired” Filter.



If the Legal Entity has MERGED with another entity, then please contact challenge@rapidlei.com to provide the details of the merger. The RapidLEI team will then modify the records appropriately and send a confirmation request by email.

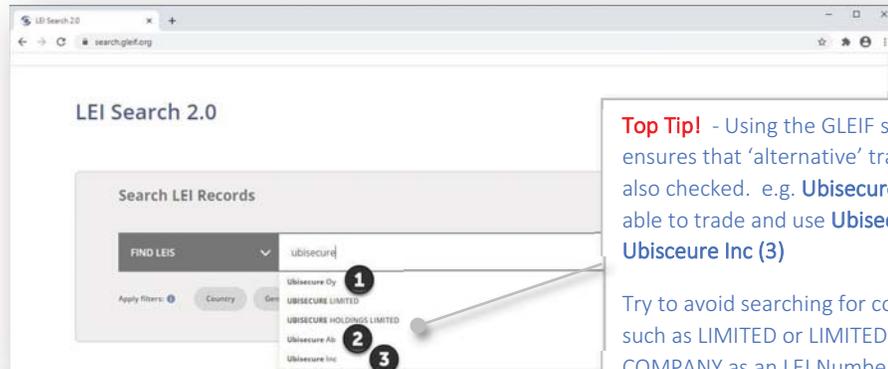
If we detect a DUPLICATE LEI has been created, we’ll confirm which LEI should persist and either contact the LEI Issuer of the other record or modify the RapidLEI record appropriately to indicate the status and send a confirmation by email.

If there’s a problem with the LEI record such as the LEI number not complying with the ISO 17442 rules, the LEI may have to be ANNULLED.

The other statuses illustrate here are either transient in nature or detailed within the glossary section of this user guide.

Avoiding Duplicates – Best Practice

Prior to applying for an LEI Number it's always advisable to see whether one has already been issued. The most up to date source of data is the GLEIF's Golden Copy which is updated every 8 hours and Search 2.0 which is updated shortly afterwards, so please use <https://search.gleif.org/>



Top Tip! - Using the GLEIF search facility ensures that 'alternative' trading names are also checked. e.g. **Ubisecure Oy (1)** is also able to trade and use **Ubisecure Ab (2)**, and **Ubisecure Inc (3)**

Try to avoid searching for company types such as LIMITED or LIMITED LIABILITY COMPANY as an LEI Number may have been issued including LTD or LLC.

And finally... additional links which may be useful

Here's some links to the GLEIF for additional reading:-

- <https://www.gleif.org/en/about-lei/introducing-the-legal-entity-identifier-lei>
- <https://www.gleif.org/en/about-lei/iso-17442-the-lei-code-structure>
- <https://www.gleif.org/en/about-lei/common-data-file-format>
- <https://www.gleif.org/en/about-lei/common-data-file-format/lei-cdf-format>
- <https://www.gleif.org/en/about-lei/common-data-file-format/relationship-record-cdf-format>
- <https://www.gleif.org/en/about-lei/common-data-file-format/parent-reference-data-format>
- <https://www.gleif.org/en/about-lei/common-data-file-format/level-2-data-reporting-exceptions-format>
- <https://www.gleif.org/en/about-lei/iso-20275-entity-legal-forms-code-list>
- <https://www.gleif.org/en/lei-system/gleif-management-of-the-global-lei-system>
- <https://www.gleif.org/en/lei-data/gleif-concatenated-file>
- <https://www.gleif.org/en/lei-data/challenge-lei-data>

Many thanks!

We hope you found this guide useful. Please submit all suggestions for improvement to support@rapidlei.com.

Glossary of Terms.

These terms are either used in this guide, within the messaging on the RapidLEI system or within the Terms and Conditions of supply of an LEI. Please consult the RapidLEI web site for updated definitions and

Term	Definition
Accounting Practitioner	A certified public accountant, chartered accountant, or a person with an equivalent license within the country of the Applicant's Jurisdiction of Incorporation or Registration or any jurisdiction where the Applicant maintains an office or physical facility; provided that an accounting standards body in the jurisdiction maintains full (not "suspended" or "associate") membership status with the International Federation of Accountants.
ASCII Transliterated	Where non-roman characters are changed to an ASCII equivalent. e.g. à ã ä å will be transliterated to a
Authority Check (filter menu)	During the LEI has been requested and the RapidLEI Vetting team is currently verifying the Signing Authority which may include inspection of a Letter of Authorization
Branch Office	A branch office is a lead international branch or international branch network outside of the Head Office's jurisdiction. An international branch, as defined here, is legally dependent on the head office legal entity and cannot exist without its head office legal entity
Business Rule	GLEIF's legal, organizational and technical rules for implementation of the LEI-ROC's high-level policies for Level 2 data.
Challenge	A mechanism co-ordinated by the GLEIF to allow data accuracy to be challenged and therefore improved by all stakeholders. See https://www.gleif.org/en/lei-data/challenge-lei-data
City/Town	Within the LE-RD data this is the name of the City where the Legal Entity is registered.
Common Data Format (CDF)	https://www.gleif.org/en/about-lei/common-data-file-format/lei-cdf-format
Confirmation Request	An appropriate out-of-band communication requesting verification or confirmation of the particular fact at issue.
Confirming Person	A position within an Applicant's organisation that confirms the particular fact at issue.
Contract Signer	A natural person who is either the Applicant, employed by the Applicant, or an authorised agent who has express authority to represent the Applicant, and who has authority on behalf of the Applicant to sign a Contract.
Country	The country of the entity's Legal Address or Headquarters Address. Expressed as an ISO 3166-1 code such as US or FR
Demand Deposit Account	A deposit account held at a bank or other financial institution, the funds deposited in which are payable on demand. The primary purpose of demand accounts is to facilitate cashless payments by means of check, bank draft, direct debit, electronic funds transfer, etc. Usage varies among countries, but a demand deposit account is commonly known as a share draft account, a current account, or a checking account.
Direct Parent	The Direct Parent, or "direct accounting consolidated parent" is defined as the lowest level legal entity preparing consolidated financial statements for the entity. For more information, please refer to the LEI ROC publication "Collecting Data on Direct and Ultimate Parents of Legal Entities in the Global LEI System" on https://www.leiroc.org/publications/gls/lou_20161003-1.pdf
Duplicate	When an LEI is issued which contains LE-RD data which refers to a Legal Entity which already has this same data referenced the latest LEI is identified as the duplicate and should not be used. The record is ordinarily updated by RapidLEI to point to the correct (initial) LEI.
Entity Legal Form	In the context of a Legal Entity, the legal form in the Jurisdiction of Incorporation under which the Legal Existence of the entity is categorised (e.g. Limited Liability Company or Public Company).
Entity Legal Status	In the context of a Legal Entity, the status in the Jurisdiction of Incorporation under which the Legal Existence of the entity status is categorised (e.g. Active or Dissolved).
External Relationship Record	Relationship Record created by an LOU when parent company has an LEI. This file is intended for publication to all participants in the Global LEI System
Head Office	Usually the International office of a group of companies or the main office in any one country with multiple offices in different
Headquarters Address	The physical address of the headquarters of the Legal Entity which may also be the same as the Legal Address
Guideline	A collection of procedural specific suggestions for best practice.

Incorporating Agency	In the context of a Legal Entity, the government agency in the Jurisdiction of Incorporation under whose authority the legal existence of the entity is registered (e.g., the government agency that issues certificates of formation or incorporation). In the context of a Government Entity, the entity that enacts law, regulations, or decrees establishing the legal existence of Government Entities.
Independent Confirmation From Applicant	Confirmation of a particular fact received by the LOU pursuant to the provisions of the GLEIF rules and LOU service provisions or binding upon the Applicant.
Individual	A natural person and therefore unable to obtain an LEI.
Internal Relationship Record	Relationship Record created by an LOU for internal exchange with GLEIF and the LEI ROC (including the PNI data element).
International Organisation	An organisation founded by a constituent document, e.g., a charter, treaty, convention or similar document, signed by, or on behalf of, a minimum of two Sovereign State governments.
Jurisdiction of Incorporation	In the context of a Legal Entity, the country and (where applicable) the state or province or locality where the organisation’s legal existence was established by a filing with (or an act of) an appropriate government agency or entity (e.g., where it was incorporated). In the context of a Government Entity, the country and (where applicable) the state or province where the Entity’s legal existence was created by law
LEI Record	An XML data record in LEI-CDF format describing one legal entity. See
Latin Notary	A person with legal training whose commission under applicable law not only includes authority to authenticate the execution of a signature on a document but also responsibility for the correctness and content of the document. A Latin Notary is sometimes referred to as a Civil Law Notary.
Last Update Date	The date on which the information within the record was most recently updated by the Managing LOU
Legal Address	The official address of a company, as recorded with the Incorporating Agency, to which official documents are sent and at which legal notices are received.
Legal Entity	An association, corporation, partnership, proprietorship, trust, or individual that has legal standing in the eyes of law. A legal entity has legal capacity to enter into agreements or contracts, assume obligations, incur and pay debts, sue and be sued, and to be held responsible for its actions.
Legal Entity - Other Names	Alternative past and present names under which the Legal Entity is registered by the Registration Authority in the Jurisdiction of Incorporation
Legal Entity - Reference Data	Presented within a Common Data Format (CDF) structure Legal Entity Reference Data (LE-RD) covers items such as Legal Entity Form, Legal Entity Status, Legal Name and Legal Entity Address.
Legal Entity - Relationship Record	Presented within a Common Data Format (CDF) structure Legal Entity Reference Data (LE-RD) covers items such as Legal Entity Form, Legal Entity Status, Legal Name and Legal Entity Address.
Legal Existence	A Legal Entity has Legal Existence if it has been validly formed and not otherwise terminated, dissolved, or abandoned.
Legal Practitioner	A person who is either a lawyer or a Latin Notary and competent to render an opinion on factual claims of the Applicant.
Maintenance	A generic term used by LOUs to cover the amendment of LEI data and the annual renewals.
Managing LOU / LEI Issuer	The LOU which manages and maintains the data of an LEI.
Non-LEI parent	A (direct or ultimate) consolidated accounting parent according to the applicable accounting rules, which therefore must be reported, but does not yet have an LEI. - See Provisional Node Identifier (PNI)
Notary	A person whose commission under applicable law includes authority to authenticate the execution of a signature on a document.
Opt-Out Reason	<ul style="list-style-type: none"> • No LEI: The parent does not consent to have an LEI, or the relationship is not yet known, or a relationship is not yet defined due to the absence of a parental LEI. • Natural Persons: There is no parent according to the definition used, because the entity is controlled by natural person(s) without any intermediate legal entity meeting the definition of accounting consolidating parent. • Non Consolidating: There is no parent according to the definition used, because the entity is controlled by legal entities not subject to preparing consolidated financial statements. • No Known Person: There is no parent according to the definition used, because there is no known person controlling the entity (e.g., diversified shareholding). • Legal Obstacles: Obstacles in the laws or regulations of a jurisdiction prevent providing or publishing this information.

	<ul style="list-style-type: none"> • Consent Not Obtained: The consent of the parent was necessary under the applicable legal framework and the parent did not consent or could not be contacted. • Binding Legal Commitments: Binding legal commitments (other than the laws or regulations of a jurisdiction), such as articles governing the legal entity or a contract, prevent providing or publishing this information. • Detriment Not Excluded: The child entity has sought to consult the parent entity about the reporting of the parent information but could not confirm the absence of detriment in a way that can appropriately prevent liability risks for the child entity. • Disclosure Detrimental: The disclosure of this information would be detrimental to the legal entity or the relevant parent. This will include reasons generally accepted by public authorities in similar circumstances, based on a declaration by the entity.
Order Status (RapidLEI Portal)	The status of the order within the RapidLEI ordering system:
	<ul style="list-style-type: none"> • To Be Renewed - A list of LEIs ready to be renewed. Taking action early avoids the possibility of an LEI record accidentally falling into a LAPSED status and potentially becoming invalid for transacting and reporting.
	<ul style="list-style-type: none"> • Lapsed - A list of LEIs) which have now LAPSED
	<ul style="list-style-type: none"> • Pending - A list of LEI(s) where RapidLEI have completed tasks and need the Applicant to resume the application by clicking the blue 'Actions' button.
	<ul style="list-style-type: none"> • Awaiting Signature - A list of LEI(s) requiring an eSignature on the contract. Clicking on the blue 'Actions' button initiates the signing process.
	<ul style="list-style-type: none"> • Declined - The signing of the contract which is mandatory for issuance of an LEI was declined by the Signing Authority.
	<ul style="list-style-type: none"> • In Progress - The Rapid LEI team is progressing with these LEI orders.
	<ul style="list-style-type: none"> • Issued - Lists all the LEIs with a status of ISSUED
	<ul style="list-style-type: none"> • LEI Transferred - When an LEI Number has been transferred out of the RapidLEI system to another managing LOU, the historical record of the LEI remains within this filer. No changes to the LEI can be made unless the LEI is imported back into the RapidLEI identity platform.
	<ul style="list-style-type: none"> • Import in progress - The Rapid LEI team is progressing with the import of these LEIs.
	<ul style="list-style-type: none"> • Duplicate - This is a list of LEIs (if any) which have been accidentally DUPLICATED. These LEIs are NOT the surviving LEIs so the LEI code should not be used - It is for reference only.
	<ul style="list-style-type: none"> • Merged - This is a list of LEIs (if any) which relates to Legal Entities that have been merged into other entities. The LEI code should not be used - It is for reference only.
	<ul style="list-style-type: none"> • Retired - This is a list of LEIs (if any) which relate to Legal Entities that have ceased to operate. The LEI code should not be used - It is for reference only.
	<ul style="list-style-type: none"> • Annulled - This is a list of LEIs (if any) which have been ANNULLED, having been created and later determined to be in error. The LEI code should not be used - It is for reference only.
	<ul style="list-style-type: none"> • Ready To Publish - These LEI(s) are ready to be published to the GLEIS. There is a limit of 10 publications a day for each LOU, so please allow up to 144 minutes + a further 24 hours to elapse before all parties can see the updates (24 hours as the GLEIF concatenated files are published daily) • Cancelled - LEI applications which have been CANCELLED part way through the process. • Authority Check – The RapidLEI Vetting team is currently verifying the Signing Authority is empowered to request an LEI. This usually indicates that all other LE-RD level 1 checks are complete. As this may include inspection of a Letter of Authorization it may take several hours before the LEI is issued - Note that October 2020 will see this reduced to minutes for fully automated business registries.
Parent Reference Data Record	Reference Data associated with the PNIs reported in the Internal Relationship Record. This is created by the LOU for internal exchange with GLEIF and the LEI ROC.
Postal Code	A Postal, ZIP, or PIN Code which is the legal Postal Code of the entity's Legal Address or Headquarters Address.
Provisional Node Identifier (PNI)	A code conforming to the technical standards (without reference data) of ISO 17442 and with a separate prefix not assigned to any LOU for LEI issuance.
Qualified Government Information Source (QGIS)	A Qualified Government Information Source (QGIS) is a regularly-updated and current, publicly available, database designed for the purpose of accurately providing the information for which it is consulted, and which is generally recognised as a dependable source of such information provided that it is maintained by a Government Entity, the reporting of data is required by law, and false or misleading reporting is punishable with criminal or civil penalties

Qualified Government Tax Information Source (QGTIS)	A Qualified Government Tax Information Source is a Qualified Government Information Source that specifically contains tax information relating to Legal Entities or Individuals (e.g., the IRS in the United States).
Qualified Independent Information Source (QIIS)	A Qualified Independent Information Source (QIIS) is a regularly-updated and publicly available database that is generally recognised as a dependable source for certain information. A database qualifies as a QIIS if:
	<ol style="list-style-type: none"> 1. Industries other than the LOU industry rely on the database for accurate location, contact, or other information; and 2. The database provider updates its data on at least an annual basis.
Ready to Publish (Filter)	A transient state for the LEI, where all workflows have been completed and the LEI is awaiting the next scheduled publication to the GLEIF (Every 160 minutes)
Reference Data	The entity description and registration information (where applicable) associated with an LEI or PNI (could be an LEI-CDF record or a record in the provisional “Parent Reference Data format”).
Registration Authority	A Governmental Agency that registers business information in connection with an entity’s business formation or authorisation to conduct business under a license, charter or other certification. A Registration Authority MAY include, but is not limited to (i) a State Department of Corporations or a Secretary of State; (ii) a licensing agency, such as a State Department of Insurance; or (iii) a chartering agency, such as a state office or department of financial regulation, banking or finance, or a federal agency such as the Office of the Comptroller of the Currency or Office of Thrift Supervision.
Registration Authority ID	A Code provided by the Global LEI Foundation to represent the Registration Authority. See https://www.gleif.org/en/about-lei/gleif-registration-authorities-list
Registered Agent	An individual or entity that is: (i) authorised by the Applicant to receive service of process and business communications on behalf of the Applicant; and (ii) listed in the official records of the Applicant’s Jurisdiction of Incorporation as acting in the role specified in (i)
Registered Company Name / Legal Name	The name assigned to the Legal Entity by the Incorporating Agency in such entity’s Jurisdiction of Incorporation.
Registered Company Number / Registration Authority Entity Id	The number assigned to a Legal Entity by the Incorporating Agency in such entity’s Jurisdiction of Incorporation.
Registration Status (LEI)	The status of the LEI record as recorded by the GLEIF and presented within the public CDF record:
	<ul style="list-style-type: none"> • ISSUED - The LEI registration has been validated and issued.
	<ul style="list-style-type: none"> • LAPSED - The LEI registration has not been renewed by its Next Renewal Date, and is not known by public sources to have ceased operation.
	<ul style="list-style-type: none"> • MERGED - The LEI registration for the entity has been merged into another legal entity, such that this legal entity no longer exists as an operating entity.
	<ul style="list-style-type: none"> • RETIRED - The LEI registration for the entity has ceased operation, without being merged into another entity.
	<ul style="list-style-type: none"> • DUPLICATE - The LEI registration has been determined to be a duplicate of another LEI Registration; the DUPLICATE status is assigned to the non-surviving registration (i.e. the LEI that should no longer be used).
	<ul style="list-style-type: none"> • TRANSFERRED - An LEI registration that has been transferred to a different managing LOU.
	<ul style="list-style-type: none"> • PENDING_TRANSFER - An LEI registration requested to be transferred to another LOU. The request is being processed at the sending LOU.
	<ul style="list-style-type: none"> • PENDING_ARCHIVAL - An LEI registration is about to be transferred to a different LOU, after which its registration status will revert to a non-pending status.
	<ul style="list-style-type: none"> • ANNULLED - An LEI registration that was marked as erroneous or invalid after it was issued. • CANCELLED - An LEI registration that was abandoned prior to issuance of an LEI.
Regulated Financial Institution	A financial institution that is regulated, supervised, and examined by governmental, national, state or provincial, or local authorities
Translator	An individual or Business Entity that possesses the requisite knowledge and expertise to accurately translate the words of a document written in one language to the native language of the LOU
Relationship Record (RR)	An XML data record in RR-CDF format detailing one relationship between two legal entities, as reported by (initially) the child entity to their LOU, and by the LOU to GLEIF.
Receiving LOU	LOU that will become the Managing LOU
Reporting Exceptions Record	No Relationship Record but “Exception Reason” recorded.

Sending LOU	Current Managing LOU, which will transfer management to the Receiving LOU.
Standard	A collection of procedural-specific requirements that must be met by everyone.
State/Region	The state, prefecture, province, or administrative jurisdiction of the entity's Legal Address or Headquarters Address. Expressed as an ISO 3166-2 code such as US-CA for California
Transfer Objection Form	Formal document provided by Sending LOUs to gather all required information in case a Legal Entity objects to the transfer request –see details in chapter 1.
Transfer Request Form	Formal document provided by Receiving LOUs to gather all required information for an LEI transfer – see details in chapter 1.
Transition Rule	A rule stipulating what LOUs, GLEIF and legal entities must do when a relationship record changes from one allowed state to another.
Ultimate Parent	The Ultimate Parent, or "ultimate accounting consolidating parent," is defined as the highest level legal entity preparing consolidated financial statements for the entity. For more information, please refer to the LEI ROC publication "Collecting Data on Direct and Ultimate Parents of Legal Entities in the Global LEI System" on https://www.leiroc.org/publications/gls/lou_20161003-1.pdf
Use Case	A specific operation scenario which applies one or more Business Rules.
Validation Authority	The primary registration authority used by the LOU to validate the entity data.
Validation Authority ID	The reference code of the registration authority, taken from the Registration Authorities List which is maintained by the GLEIF.
Validation Sources	The level of validation of the reference data provided by the registrant. Possible values for this field are:
Validation Sources Verified Method of Communication	<ul style="list-style-type: none"> • FULLY_CORROBORATED - Based on the validation procedures in use by the LOU responsible for the record, there is sufficient information contained in authoritative public sources to corroborate the information that the submitter has provided for the record.
	<ul style="list-style-type: none"> • PARTIALLY_CORROBORATED - Based on the validation procedures in use by the LOU responsible for the record, the information supplied by the registrant can be partially corroborated by public authoritative sources, while some of the record is dependent upon the information that the registrant submitted, either due to conflicts with authoritative information, or due to data unavailability.
	<ul style="list-style-type: none"> • ENTITY_SUPPLIED_ONLY - Based on the validation procedures in use by the LOU responsible for the record, the information associated with this record has significant reliance on the information that a submitter provided due to the unavailability of corroborating information.
	<ul style="list-style-type: none"> • PENDING - The validation of the reference data provided by the registrant has not yet occurred.
	<ul style="list-style-type: none"> • The use of a telephone number, a fax number, an email address, or postal delivery address, confirmed by the LOU as a reliable way of communicating with the Applicant.
Verified Professional Letter	A Verified Accountant Letter or Verified Legal Opinion

About Ubisecure



Ubisecure is a pioneering European b2b and b2c Customer Identity & Access Management (CIAM) software provider and cloud identity services enabler dedicated to helping its customers realise the true potential of digital business.

Ubisecure provides a powerful Identity Platform to connect customer digital identities with customer-facing SaaS and enterprise applications in the cloud and on-premise. The platform consists of productised CIAM middleware and API tooling to help connect and enrich strong identity profiles; manage identity usage, authorisation and progressive authentication policies; secure and consolidate identity, privacy and consent data; and streamline identity based workflows and decision delegations. Uniquely, Ubisecure's Identity Platform connects digital services and Identity Providers, such as social networks, mobile networks, banks and Governments, to allow Service Providers to use rich, verified identities to create frictionless login, registration and customer engagement while improving privacy and consent around personal data sharing to meet requirements such as GDPR and PSD2.

Ubisecure is accredited by the Global Legal Entity Identifier Foundation (GLEIF) to issue Legal Entity Identifiers (LEI) under its RapidLEI brand, a cloud based service that automates the LEI lifecycle to deliver LEIs quickly and easily.

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